

Uganda Pilot Program for Climate Resilience

Terms of Reference

Second Joint Mission to Support Uganda in Developing the Strategic Program for Climate Resilience

Kampala, 06- 10 March, 2017

1. Background

In May 2015, Uganda was selected by the Climate Investment Funds (CIF) as one of the second round of countries to prepare the Strategic Program for Climate Resilience (SPCR) under the Pilot Program for Climate Resilience (PPCR). In January 2016, the CIF approved a US\$ 1.5 million grant to support the Government of Uganda (GoU) to prepare its SPCR, with the support of the African Development Bank (AfDB) as the lead Multilateral Development Bank (MDB) in collaboration with the World Bank (WB). The SPCR is being prepared in parallel to the Forest Investment Plan (FIP), also being implemented under the Climate Investment Funds (CIF). The Climate Change Department (CCD) and the Forest Sector Support Department (FSSD), both under the Ministry of Water and Environment (MWE), are the national focal points for the PPCR and FIP respectively.

The SPCR will be aligned to the National Vision 2040¹, National Development Plan II (NDP II², 2015-2020), the National Climate Change Policy (NCCP) (2015), National Green Growth Development Strategy (2015-2030), the global Sustainable Development Goals (2016-2030), as well as priority strategies and plans of relevant sectors. The SPCR will contribute to the PPCR objective of mainstreaming of climate concerns into Uganda's development programs and will specifically contribute towards achievement of the INDC³ commitments.

Uganda has prepared a National Climate Smart Agriculture Program (2015-2025), an Agriculture Sector Specific National Adaptation Plan (NAP), and a roadmap for preparation of the overall National NAP, among others. The GoU is also currently preparing the National Climate Change Bill, to strengthen the legal basis to enforce the implementation of the NCCP including defining institutional responsibilities to mainstream climate change at sectoral and cross-sectoral level.

An initial joint scoping mission of 19 – 23 October 2015 consulted and introduced various stakeholders to the SPCR process, and guided the prioritization of thematic areas for the SPCR. Thereafter, a joint technical mission from 16 – 25 March 2016; (i) finalized implementation and fiduciary arrangements for the Phase 1 activities, (ii) identified initial activities for the SPCR thematic

¹The Vision 2040 sets out to transform Uganda from a peasant to a modern and prosperous country, with a per capita income of US\$ 9,500 by 2040. The Vision recognizes the need to develop and implement appropriate strategies, policy, institutional and legal frameworks to build climate resilience into all sectors.

²The NDP II (2015-2020) is the second of six five-year Plans towards achieving the Vision 2040, which specifically aims to lead to a per capita income of US\$ 1,039 by 2020. It also aims to complement Uganda's long term desired pathway of a climate-resilient and low-carbon development linked to green growth and broader sustainable development goals.

³The Uganda Intended Nationally Determined Contribution (INDC) (2015) shows that Uganda has one of the lowest per capita carbon emissions, estimated at 1.39 tCO₂e – far below the global average of approximately 7.99 tCO₂e – and with its contribution to world's total carbon emission estimated at 0.099%.

areas through multi-stakeholder consultations, and (iii) reinforced consultation mechanisms and collaboration opportunities with development partners, civil society and other stakeholders. A first draft of the SPCR was prepared after stocktaking and gap analysis of relevant climate change projects and interventions coupled with consultations of key state and non-state actors. The draft SPCR identified key climate risks, vulnerabilities, impacts and other development challenges faced in the agreed thematic areas⁴, as well as the initial potential investment projects to be explored in the SPCR. The draft further provided a basis for wide stakeholder consultations which took place between October and November, 2016.

SPCR investment projects: Through targeted stakeholder consultations and field missions, the First Joint Mission of 13 – 24 June 2016 refined and prioritized⁵ five PPCR investment projects and identified two additional projects as possible joint PPCR and FIP investment projects (as shown below).

Table 1: List of prioritized investment projects for the Uganda SPCR

PPCR investment projects	Joint PPCR and FIP investment projects
1. Enhancing climate resilient agricultural production and food security	1. Forest watershed/ landscape management and restoration in the Albert Water Management Zone/Basins
2. Strengthening hydro-meteorology services	2. Sustainable catchment management through integrated land-use to improve forest cover, agriculture production and reduce poverty in the Kyoga Basin and Upper Nile Water Management Zones/Basins
3. Enhancing institutional capacity in climate change coordination and mainstreaming	
4. Strengthening climate resilience of urban areas and infrastructure	
5. Building rural community resilience through improved catchment protection, water supply and storage	

The Second Technical Joint Mission

The Second technical joint mission held between 10 -14 October, 2016 informed the elaboration of the concept notes of the investment projects, and gave input to the draft SPCR eventually developing a second version of the SPCR document. The mission asked country drafting teams to consult further on the joint FIP/PPCR projects and finalize stakeholder consultative processes as well as complete - the program's results framework, and other document sections. The mission noted that the results out of the consultative processes would particularly assist in refining of investment project concept notes.

⁴The agreed SPCR thematic areas are: (i) *Promoting the scale-up of climate resilient agriculture*; (ii) *Institutional strengthening to addressing climate change*; (iii) *Promoting climate resilient approaches to landscape and watershed management*; (iv) *Strengthening hydro-meteorological networks and services*; and (v) *Promoting climate resilient urban development and infrastructure*.

⁵Criteria for prioritization of investment projects included: (i) *Alignment with national development and sectorial strategies and priorities*; (ii) *Level of vulnerability of regions and sectors to climate change risks*; (iii) *Potential for transformational change and for scaling up*; (iv) *Recommendations from the stakeholders consultations and field visit observations*; and (v) *Leverage of funding from MDBs and other donors*

Support was also given to the procurement processes, particularly in refining Terms of reference for analytical studies⁶ as well as initiating procurement of Technical support⁷ for the PPCR process. Call for expression of interest has been published and recruitment for all technical studies as technical support will be finalized before end of February.

Comprehensive stakeholder consultations have been undertaken since October 2016 to date to further discuss, refine and validate the overall program and specific project attributes. Arising out of the consultations, project concepts have been further refined and the joint FIP/PPCR projects were proposed to be consolidated into one joint project which be further discussed during the mission. The list of projects currently under discussion are indicated in table 2 below.

Table 2: List of PPCR investment projects currently under discussion

PPCR investment projects	Joint PPCR and FIP investment projects
1. Enhancing climate resilient agricultural production and food security	Integrated and Sustainable Management of Landscapes and Catchments for Improved Livelihoods, Ecosystems and Community Resilience in the Lake Albert, Lake Kyoga and Upper Nile Water Management Zones
2. Strengthening hydro-meteorology services	
3. Enhancing institutional capacity in climate change coordination and mainstreaming	
4. Strengthening climate resilience of urban areas and infrastructure	

A third version of the SPCR document with the concept notes on the five projects has now been developed and will be shared for further comments and input.

Collaboration and partnerships have been strengthened with key development partners, such as DFID, FAO, and the EU Delegation that have shown interest to support the elaboration of investment project activities in climate smart agriculture, catchment management and institutional strengthening. National and regional level consultations were held in October and November, 2016 with officials from relevant government departments, agencies, development partners, private sector players, NGOs, CSOs, academicians and multilateral and bilateral development agencies working in Uganda. Five national level stakeholder consultative meetings were conducted on 4th October in Mukono and three in Kampala. The meeting held on 6th October 2016 was attended by the members of the Technical Planning Committee of the Strategic Plan for Climate Resilience. On 25th October 2016 a special meeting was organized for the members of the National Climate Change Advisory

⁶ Analytical studies include: Assessing feasibility of Agriculture insurance, Landscape and catchment assessments, assessing institutional capacity for climate change coordination, hydro-meteorological services, urban resilience needs and Knowledge management. The results out of these studies will aid in project elaboration.

⁷ Two National Technical Advisors; TA for Agriculture and natural resources as well as a TA on urban planning and infrastructure; and, an International Consultant to support the drafting of the SPCR.

Committee. The last national level consultative meeting was organized for Members of Parliament in Entebbe on 13th January 2017.

A third and final joint mission is now planned for March 06-10, 2017 to support the finalization of the SPCR document ready for submission for the June 2017 PPCR sub-committee meeting.

2. Mission objectives

The objective of the second joint mission is to build on the achievements of the previous missions and work with the Government to finalize the SPCR document, ensuring it contains all required elements and is responsive to PPCR funding criteria and guidelines. The mission will consult with a broad range of stakeholders on the SPCR document. The expected result is to have the final draft SPCR document ready for external review and eventual formal Government approval and subsequent submission to the PPCR Sub-Committee planned to take place in June, 2017

The mission will be led and coordinated by the GoU through the Climate Change Department (CCD) of the Ministry of Water and Environment with support from the MDBs. The mission team will closely work with relevant ministries, departments and agencies (MDAs), multi/bilateral development partners and Civil Society Organizations (CSOs).

The specific objectives of the second Joint Mission are to:

- (i) Refine and agree on the final SPCR document.
- (ii) Review and refine concept notes for the PPCR and the joint PPCR-FIP investment project (including confirming their components, activities, geographical locations, and estimated budgets, etc);
- (iii) Assess the final comments from stakeholders consultations and ensure that comments are incorporated into the draft documents
- (iv) Agree on the financing options for implementation of the PPCR and joint PPCR-FIP investment projects (including financing sources like Climate Investment Fund (CIF), Green Climate Fund (GCF) , bilateral programs, Government resources and private sector).
- (v) Refine the SPCR document with updated information and agreed actions including comments from the external reviewers.
- (vi) Review implementation progress of studies and activities supported by the PPCR preparation grant
- (vii) Obtain formal endorsement of the SPCR document from the National Climate Change Advisory Committee (NCCAC), Ministry of Finance, Planning and Economic Development and the MWE Top Management
- (viii) Update the roadmap for finalization and submission of the SPCR to Climate Investment Fund Administration Unit (CIF-AU).

3. Mission Outcomes

- (i) An Aide-Memoire highlighting the key mission findings, agreed actions and next steps for the Uganda PPCR, including a revised roadmap for submission of the SPCR to CIF;
- (ii) Revised SPCR including the refined investment project concepts, results framework, confirmed implementation arrangements, etc.

4. Mission Team

	Name	Designation
Government	Alfred Okiidi	Permanent Secretary, Ministry of Water and Environment (MWE)
	Paul Mafabi	Director for Directorate of Environmental Affairs (MWE)
	Chebet Maikut	Ag. Commissioner Climate Change Department (MWE), UNFCCC and PPCR National Focal Point for Uganda
	Bob Natifu	Principal Climate Change Officer and Alternate PPCR National Focal Point, Climate Change Department (CCD, MWE)
	Muhammad Semambo	Senior Climate Change Officer-Adaptation, Climate Change Department (CCD, MWE)
AfDB	Gareth Philip	Chief Climate & Green Growth Officer
	Siham Mohamed Ahmed	Principal Natural Resources Management Specialist – Mission lead
	Robert Nabanyumya	Support Consultant for PPCR and FIP
FAO	Kennedy Igbokwe	GCCA Program Manager ⁸
World Bank (WB)	Ross Hughes	Senior Natural Resources Management Specialist, Environment and Natural Resources
	Susan Tambi Matambo	Climate Change Specialist
	Lesya Verheijen	Consultant, Sr. Operations Officer, Environment and Natural Resources

⁸ The FAO is joining the mission at the invitation of the Government of Uganda in view of the experiences they have had on rural resilience and livelihoods support activities in the country.

Annex 1: Proposed Agenda for the Joint Mission

Pilot Program for Climate Resilience (PPCR) and Forest Investment Program (FIP)

Joint Mission

Kampala, Uganda: 13– 17 March 2017

Time	Activity description	Desired Outcomes	Venue	Participation
Sunday 05th March – Arrival of Mission in the country				
Monday; 06th March 2017				
08.30 a.m	Introductory working session	Internal MDB briefing	MWE	Country offices
10.00 a.m	Courtesy call on PS MWE	Updates on status of FIP and PPCR preparation and mission program.	MWE	Ps, FIP/PPCR Teams MDBs Directors (DEA, DWRM, DWD, ?, NFA, NEMA, NWSC, UWA) Commissioners (FSSD, DESSS, WDM, CCD, PPD), MDBs teams, FAO
12.00 Noon	Meeting with FIP and PPCR In country Team	Agenda review and progress update.	MWE & Climate Change Department	FIP/PPCR Teams Technical Staff (FSSD, CCD, DESSS, WMD, UWA), MDB teams, FAO
13.00 – 14:00	Lunch	Lunch Break	all	All
2.30 – 5.00pm	Working sessions for PPCR and FIP	Draft FIP and SPCR documents comprehensively reviewed and consensus on changes needed.	PPCR team meets at MWE/FIP team meets at FSSD	FIP/PPCR Team, MDB teams, FAO
Tuesday , 07th March				
Full Day	Working sessions for PPCR and FIP	Draft FIP and SPCR documents comprehensively reviewed and consensus on changes needed.	PPCR team meets at MWE/FIP team meets at FSSD	FIP/PPCR Team Technical Staff (FSSD, CCD, DESSS, WMD, UWA), MDB teams, FAO
Wednesday, 08th March				
Full day	Working	REDD+ Readiness	TBC	FIP/PPCR Team

Time	Activity description	Desired Outcomes	Venue	Participation
	sessions for PPCR and FIP	discussions for FIP and Revision of PPCR documents by GoU technical teams		Technical Staff (FSSD, CCD, DESSS, WMD, UWA),
Thursday 09th March				
Full day	Working sessions for PPCR and FIP	Revision of FIP and PPCR documents by GoU technical teams	TBC	FIP/PPCR Team Technical Staff (FSSD, CCD, DESSS, WMD, UWA),
Friday, 10th March				
10:00 – 11.00	Debriefing MWE	MWE endorsement of FIP and PPCR Plans/document	MWE - New Boardroom	Ministers, PS, FIP/PPCR Teams MDBs Directors (DEA, DWRM, DWD, MRA, NFA, NEMA, NWSC, UWA) Commissioners (FSSD, DESSS, WDM, CCD, PPD)