

**SCOPING MISSION**  
**FOREST INVESTMENT PROGRAM (FIP)**  
**FEBRUARY 23-25TH, 2016**  
**GUATEMALA, GUATEMALA CITY**

**TERMS OF REFERENCE**

**I. PARTICIPANTS**

Participants: Gloria Visconti (INE/CCS), Omar Samayoa (CCS/CGU), Joseph Milewski (RND/CGU), Alfredo Idiarte (INO/NFP), Mateo Salomon (INE/CCS); Paloma Marcos (SCL/GDI) Aymé Sosa (CCS/CGU), Christian Peter (World Bank), Gerhard Dieterle (World Bank) and Gabriela Encalada (World Bank).

**II. MISSION OBJECTIVES AND ACTIVITIES:**

A scoping mission involving the Government of Guatemala (GOG) through the Inter-Agency Coordination Group (GCI, formed by the Ministry of Environment and Natural Resources –MARN-, Ministry of Agriculture, Livestock and Food –MAGA-, the National Council for Protected Areas –CONAP- and the National Forest Institute–INAB-), and the Multilateral Development Banks (MDBs -World Bank and the Inter-American Development Bank-); will take place in Guatemala city from February 23<sup>th</sup> to 25<sup>th</sup>, 2016. The overall objective of this mission is to initiate the process for developing the Investment Plan (IP) under the Forest Investment Program (FIP), through preliminary multi-sectoral dialogues and scoping of the work program.

The mission will be led by the GOG through INAB as the focal point agency designated for FIP by the GOG in coordination with GCI. The mission will mainly focus on addressing the most pertinent issues to preparing the IP, using the Expression of Interest as a starting point including:

- Presenting the objectives of the FIP and the role of the preparatory resources for the elaboration of the IP.
- Discussing how the IP will contribute to the implementation of the PROBOSQUE Law, the National REDD+ Strategy, the National Emissions Reduction Program, the National Action Plan for Adaptation and Mitigation of Climate Change, INDC, governmental/sectorial priorities, national strategies/plans related to mitigation and adaptation to climate change.
- Reviewing synergies between recent, ongoing and planned investments related to FIP, MDBs, development partners and technical agencies.
- Discussing enabling conditions including institutional leadership and coordination mechanisms and supporting needs for this.
- Discussing broad financing options for the IP, including linkages to GCF, GEF, bilateral programs and Government resources.
- Discussing and confirming broad arrangements for the preparation of the IP.
- Discussing and confirming stakeholder and key actors engagement requirements, under careful expectations management.

- Confirming procedures for accessing and using the preparatory grant resources.
- Discussing the roadmap (key milestones and timetable for the elaboration of the IP).

The scoping mission will produce an Aide Memoire outlining the issues, process for moving forward, key findings and recommendations for the organization of Joint Missions, leading to the development of the IP in Guatemala.

### III. INTRODUCTION, BACKGROUND AND COUNTRY CONTEXT

**FIP approval:** In May 2015 Guatemala was selected by the FIP Sub Committee to become a pilot country under the FIP Program following the recommendations of an independent panel of experts who selected the proposal submitted by the GOG through an expression of interest. In December 2015 the FIP Sub Committee approved the allocation of USD 250,000 for the elaboration of the IP. These resources will support the consultation and participation processes for the design of the IP and its articulation with the National REDD+ Strategy and the National Emissions Reduction Program.

**FIP general objectives:** Supporting developing countries efforts to reduce emissions from deforestation and forest degradation and promote sustainable forest management and enhancement of forest carbon stocks (REDD+), including:

- Promoting forest mitigation efforts, including protecting forest ecosystem services
- Providing support outside of the forest sector to reduce pressure on forests
- Helping countries strengthen institutional capacity, forest governance, and forest-related knowledge
- Mainstreaming climate resilience considerations and contributing to biodiversity conservation, protecting the rights of indigenous peoples and local communities, and poverty reduction through rural livelihoods enhancement.

The FIP address the underlying drivers of deforestation and forest degradation, as well as the barriers identified by upstream REDD+ programs such as those of the Forest Carbon Partnership Facility (FCPF), Global Environment Facility (GEF), UN Collaborative Programme on Reducing Emissions from Deforestation and Forest Degradation in Developing Countries (UN-REDD Programme).

#### Areas of Interest of the FIP Expression of Interest submitted by Guatemala

- Strengthening forestry extension programs for the implementation of the national forest incentive programs (PINPEP, PROBOSQUE).
- Strengthening private sector participation in forest management through the Program Forest-Industry-Market.
- Strengthening the participation of local communities and indigenous people in the sustainable forest management.
- Supporting the implementation of the National Strategy for Sustainable Use of firewood.
- Strengthening actions to ensure good governance in areas with high coverage of natural forests.

#### **IV. PREPARATORY WORK FOR SCOPING MISSION**

Prior to fielding the Scoping Mission, the following activities will be undertaken by the GOG and MDBs as needed:

##### **3.1 Basic information for the mission:**

- a. Ensure familiarity with GOG's FIP Expression of interest (all).
- b. Review country priorities related to FIP (GOG and MDBs).
- c. Obtain CIF guidelines, templates and FAQs (MDBs)
- d. Confirm the Scoping Mission requirements set by the MDBs.
- e. Prepare a file with all relevant GOG documents pertaining to issues, using the Expression of Interest as the point of departure (INAB).

##### **3.2 Mission coordination:**

- a. GOG and MDBs confirm the mission terms of reference.
- b. MDB Focal Points to brief Country Office Representatives (MDBs) about FIP.
- c. GOG Focal Points to arrange mission meetings with key Ministries, Stakeholders and other key actors.

#### **V. SCOPING MISSION ACTIVITIES AND FOLLOW UP:**

- a. Presenting the IP and the expression of interest as well as the linkages with the readiness phase (FCPF) and payment by results (Carbon Fund) to governance structures for REDD + and forestry sector of the GoG and other stakeholders
- b. Reviewing the alignment of the FIP with forest sector policies, the National Development Plan 2032 Katún and Guatemala's INDC.
- c. Socializing the roadmap for the preparation of the IP with FIP stakeholders, including representatives of indigenous people and local communities for the part related to the DGM.
- d. Identifying and compiling background information for the preparation of the IP.
- e. Along with stakeholders, identify key actors to be included in any coordination structures for the preparation of the IP.
- f. Confirm the role of FIP and preparation resources allocated for joint development of the IP.
- g. Discussing and confirming Government's priorities for the IP, including the role of the private sector.
- h. Identifying synergies with MDB investments, international donors and technical agencies as well as the links with other climate finance initiatives such as the Carbon Fund, Nama Facility, GEF, and the Green Climate Fund.
- i. Discussing financing options for the IP, including links to the Green Climate Fund, GEF, bilateral programs and resources of the GOG.
- j. Discussing and confirming general implementation arrangements for the preparation of the IP, including the processes for accessing and using the preparation resources, legal agreements, procurement and implementation. Discuss the responsibilities of the MDBs and the GOG.

- k. Discussing the roadmap including key milestones and timetable for preparing IP, including Joint Missions and their timetable (1<sup>st</sup> joint mission, technical missions, 2<sup>nd</sup> joint mission and submissions for endorsement).
- l. Confirmed requirements and preparation for Joint Missions.

## VI. AIDE MEMOIRE

The mission will prepare an Aide Memoire including the agreements and conclusions reached on key issues, next steps to guide the preparation of the IP and guidance to the MDBs for the preparation of the terms of reference and implementation of the Joint Missions.

Upon completion of the mission, the lead MDB with other MDBs and Focal Points, prepare the terms of reference for the Joint Missions and schedule them as quickly as possible.

## VII. SCOPING MISSION COMPOSITION

The GOG will lead the mission through the Ministers who signed the Expression of Interest submitted to the FIP in May 2015, including:

Post	Institution	Location	Name
<b>Government of Guatemala</b>			
Manager of the National Forest Institute	INAB	Guatemala	Josúe Morales Dardón
Minister of Environment and Natural Resources	MARN	Guatemala	TBC
Minister of Agriculture, Livestock and Food	MAGA	Guatemala	TBC
Secretary of the National Council for Protected Areas	CONAP	Guatemala	TBC
Director of renewable energy Department	MEM	Guatemala	Fernando Arévalo
<b>Multilateral Development Banks; Scoping Mission key members</b>			
CIF Coordinator	IADB	DC	Gloria Visconti
Climate Change Specialist - Representation Guatemala	IADB	Guatemala	Omar Samayoa
Climate finance Specialist	IADB	DC	Mateo Salomon
Natural Resources Lead-Specialist – Representation Guatemala	IADB	Guatemala	Joseph Milewski
Private sector Specialist	IADB	DC	Alfredo Idiarte
Gender Specialist	IADB	DC	Paloma Marcos

Climate Change Consultant	IADB	Guatemala	Aymé Sosa
Program Leader, Sustainable Development Central America Department	IBRD	DC	Christian Peter
Forests Advisor, Agriculture and Rural Development	IBRD	DC	Gerhard Dieterle
Environmental Specialist	IBRD	Quito	Gabriela Encalada Romero

### VIII. MISSION DRAFT AGENDA/SCHEDULE (TENTATIVE)

The Scoping Mission for FIP will take place from February 23th to 25th 2016 in Guatemala City. The tentative Scoping Mission agenda is as follows:

#### February 23rd

Time	ACTIVITIES	PARTICIPANTS	LOCATION
08:30	Scoping Mission opening	WB and IDB Representatives and Scoping Mission members	IDB/WB
10:00	Meeting with the Inter-Agency Coordination Group (GCI): presentation of FIP objectives, Expression of Interest, linkages between FIP and National REDD+ Strategy and ER-PIN.	Scoping Mission members and members of the GCI (political and technical segment)	MARN
12:30	Lunch	Scoping Mission members	
14:00	Meeting with the Ministry of Public Finance (MINFIN): presentation of FIP objectives, Expression of Interest and climate finance opportunities.	Scoping Mission members, representative of MINFIN and the Manager of INAB	MINFIN
15:30	Meeting with the Presidency Secretary of Planning (SEGEPLAN): linkages between FIP and National Plan Katún 2032 and sectorial policy framework.	Scoping Mission members and the Sub Secretary of Policies of SEGEPLAN and INAB	SEGEPLAN
17:00	<i>End of first day</i>		

**February 24th**

<b>Time</b>	<b>ACTIVITIES</b>	<b>PARTICIPANTS</b>	<b>LOCATION</b>
08:30	Presentation and discussion of IP structure and content	Scoping Mission members and technical personal from INAB and CONAP	INAB
10:00	Presentation of investment lines for IP: Forest-Industry-Market Strategy, National Strategy for sustainable and efficient use of firewood, Indigenous and community territories Strategy, National Strategy to Combat Illegal Logging and the Extension Program	Scoping Mission members and technical staff from INAB and CONAP	INAB
12:30	Lunch with INAB's Board of Directors	Scoping Mission members and INAB's Board of Directors	INAB
15:00	Meeting with members of Meeting with members of International Cooperation agencies involved in National REDD+ Strategy: Presentation of FIP objectives, Expression of Interest, opportunities for synergies and steps for preparing the IP.	Scoping Mission members and technical personal from INAB and CONAP	IDB
17:00	<i>End of second day</i>		

**February 25th**

<b>TIME</b>	<b>ACTIVITIES</b>	<b>PARTICIPANTS</b>	<b>LOCATION</b>
08:30	Meeting with potential actors for the implementation of investment lines (private sector, indigenous people, and forest local communities). It includes presentation on DGM.	Scoping Mission members and technical personal from INAB and CONAP	INAB
11:00	Meeting with representatives of international cooperation and bilateral agencies	Scoping Mission members and technical personal from INAB and CONAP	INAB
1.00-2.00	Lunch	Scoping Mission members and INAB's Board of Directors	
2.00 – 5.00 pm	Scoping Mission closure: conclusions, next steps Signature of Aide Memoire	Scoping Mission members and technical personal from INAB and CONAP	INAB

## IX. CONTACTS (MDBs AND GOVERNMENT)

### ***Government of Guatemala:***

Manager of the National Forest Institution (INAB)  
Josué Morales Dardón  
[josue.morales.dardon@gmail.com](mailto:josue.morales.dardon@gmail.com)

José Díaz  
FIP technical Focal Point  
[jose.diaz@inab.gob.gt](mailto:jose.diaz@inab.gob.gt)

### ***Multilateral Development Banks:***

Inter-American Development Bank (IDB)  
Gloria Visconti  
Climate Change Lead-Specialist  
[gloriav@iadb.org](mailto:gloriav@iadb.org)

Inter-American Development Bank (IDB)  
Omar Samayoa  
Climate Change Specialist  
[omars@iadb.org](mailto:omars@iadb.org)

Inter-American Development Bank (IDB)  
Aymé Sosa  
Climate Change Consultant  
[asosa@iadb.org](mailto:asosa@iadb.org)

World Bank (WB)  
Christian Peter  
Program Leader, Sustainable Development  
Central America Department  
Latin America & the Caribbean Region  
[cpeter@worldbank.org](mailto:cpeter@worldbank.org)

World Bank (WB)  
Gerhard Dieterle  
Forests Advisor  
[gdieterle@worldbank.org](mailto:gdieterle@worldbank.org)

World Bank (WB)  
Gabriela Encalada R.  
Environmental Specialist  
Environment & Natural Resources  
[gencalada@worldbank.org](mailto:gencalada@worldbank.org)