

## **Uganda Pilot Program for Climate Resilience**

### **Terms of Reference**

#### **Second Joint Mission to Support Uganda in Developing the Strategic Program for Climate Resilience**

Kampala, 10– 14 October 2016

## **1. Background**

In May 2015, Uganda was selected by the Climate Investment Funds (CIF) as one of the second round of countries to prepare the Strategic Program for Climate Resilience (SPCR) under the Pilot Program for Climate Resilience (PPCR). In January 2016, the CIF approved a US\$ 1.5 million grant to support the Government of Uganda (GoU) to prepare its SPCR, with the support of the African Development Bank (AfDB) as the lead Multilateral Development Bank in collaboration with the World Bank (WB). The SPCR is being prepared in parallel to the Forest Investment Plan (FIP), also being implemented under the Climate Investment Funds (CIF). The Climate Change Department (CCD) and the Forest Sector Support Department (FSSD), both under the Ministry of Water and Environment (MWE), are the national focal points for the PPCR and FIP respectively.

The SPCR will be aligned to the National Vision 2040<sup>1</sup>, National Development Plan II (NDP II)<sup>2</sup>, 2015-2020), the National Climate Change Policy (NCCP) (2015), National Green Growth Development Strategy (2015-2030), the global Sustainable Development Goals (2016-2030), as well as priority strategies and plans of relevant sectors. The SPCR will contribute to the PPCR objective of mainstreaming of climate concerns into Uganda's development programs and will specifically contribute towards achievement of the INDC<sup>3</sup> commitments.

Uganda has prepared a National Climate Smart Agriculture Program (2015-2025), an Agriculture Sector Specific National Adaptation Plan (NAP), and a roadmap for preparation of the overall National NAP, among others. The GoU is also currently preparing the National Climate Change Bill (2016) to strengthen the legal basis to enforce the implementation of the NCCP including defining institutional responsibilities to mainstream climate change at sectoral and cross-sectoral level.

An initial joint scoping mission of 19 – 23 October 2015 consulted and introduced various stakeholders to the SPCR process, and guided the prioritization of thematic areas for the SPCR. Thereafter, a joint technical mission from 16 – 25 March 2016, (i) finalized implementation and fiduciary arrangements for the Phase 1 activities, (ii) identified initial activities for the SPCR thematic areas through multi-

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<sup>1</sup> The Vision 2040 sets out to transform Uganda from a peasant to a modern and prosperous country, with a per capita income of US\$ 9,500 by 2040. The Vision recognizes the need to develop and implement appropriate strategies, policy, institutional and legal frameworks to build climate resilience into all sectors.

<sup>2</sup> The NDP II (2015-2020) is the second of six five-year Plans towards achieving the Vision 2040, which specifically aims to lead to a per capita income of US\$ 1,039 by 2020. It also aims to complement Uganda's long term desired pathway of a climate-resilient and low-carbon development linked to green growth and broader sustainable development goals.

<sup>3</sup> The Uganda Intended Nationally Determined Contribution (INDC) (2015) shows that Uganda has one of the lowest per capita carbon emissions, estimated at 1.39 tCO<sub>2</sub>e – far below the global average of approximately 7.99 tCO<sub>2</sub>e – and with its contribution to world's total carbon emission estimated at 0.099%.

stakeholder consultations, and (iii) reinforced consultation mechanisms and collaboration opportunities with development partners, civil society and other stakeholders. A first draft of the SPCR was prepared after stocktaking and gap analysis of relevant climate change projects and interventions coupled to consultations of key state and non-state actors. The draft SPCR identified key climate risks, vulnerabilities, impacts and other development challenges faced in the agreed thematic areas<sup>4</sup>, as well as the initial potential investment projects to be explored in the SPCR. The draft further provided a basis for wide stakeholder consultations planned during August to early September 2016.

**SPCR investment projects:** Through targeted stakeholder consultations and field missions, the First Joint Mission of 13 – 24 June 2016 refined and prioritized<sup>5</sup> five PPCR investment projects and identified two additional projects as joint PPCR and FIP investment projects (as shown below).

*List of prioritized investment projects for the Uganda SPCR*

PPCR investment projects	Joint PPCR and FIP investment projects
1. Enhancing climate resilient agricultural production and food security	1. Forest watershed/ landscape management and restoration in the Albert Water Management Zone/Basins
2. Strengthening hydro-meteorology services	2. Sustainable catchment management through integrated land-use to improve forest cover, agriculture production and reduce poverty in the Kyoga Basin and Upper Nile Water Management Zones/Basins
3. Enhancing institutional capacity in climate change coordination and mainstreaming	
4. Strengthening climate resilience of urban areas and infrastructure	
5. Building rural community resilience through improved catchment protection and water supply and storage	

Elaboration is ongoing for the concept notes of the investment projects, program's results framework, Strategic Environmental and Social Assessment (SESA) and other document sections. Procurement for and undertaking of analytical studies to bridge key knowledge gaps in the SPCR are expected to commence in August 2016, after receipt of PPCR preparation grant funds by the executing ministry (MWE). In parallel, wider stakeholder consultations are planned in August and early September 2016 to further discuss, refine and validate the overall program and specific project attributes. Collaboration and partnerships have been strengthened with key CSOs and partners, such as USAID, FAO, EU Uganda Mission and MUCCRI<sup>6</sup>, etc., who confirmed to support the elaboration of investment project activities in climate smart agriculture, catchment management and institutional strengthening. Further consultations are being held with partners for their consideration to take up and finance some activities/components through their national programs.

<sup>4</sup> The agreed SPCR thematic areas are: (i) *Promoting the scale-up of climate resilient agriculture*; (ii) *Institutional strengthening to addressing climate change*; (iii) *Promoting climate resilient approaches to landscape and watershed management*; (iv) *Strengthening hydro-meteorological networks and services*; and (v) *Promoting climate resilient urban development and infrastructure*.

<sup>5</sup> Criteria for prioritization of investment projects included: (i) *Alignment with national development and sectorial strategies and priorities*; (ii) *Level of vulnerability of regions and sectors to climate change risks*; (iii) *Potential for transformational change and for scaling up*; (iv) *Recommendations from the stakeholders consultations and field visit observations*; and (v) *Leverage of funding from MDBs and other donors*

<sup>6</sup> MUCCRI – Makerere University Centre for Climate Change Research and Innovations

## 2. Mission objectives

In accordance with the PPCR guidelines, the Second Joint Mission has been planned from 29 August – 2 September 2016, to support the GoU to review and finalize the SPCR Investment Plan before submission to the CIF PPCR sub-committee meeting of November 2016. The mission will be led and coordinated by the GoU through the Climate Change Department (CCD) with support from the MDBs. The mission team will closely work with relevant ministries, departments and agencies (MDAs), multi/bilateral development partners and CSOs.

The specific objectives of the Second Joint Mission are to:

- (i) Refine and agree on the final SPCR results framework;
- (ii) Review and refine concept notes for the PPCR and joint PPCR-FIP investment projects (including confirming their components, activities, geographical locations, estimated budgets, etc);
- (iii) Agree on institutional and coordination mechanisms for implementation of the PPCR and joint PPCR-FIP investment projects;
- (iv) Agree on the financing options for implementation of the PPCR and joint PPCR-FIP investment projects (including financing sources like CIF, GCF, bilateral programs, Government resources and private sector);
- (v) Refine the SPCR document with updated information and agreed actions;
- (vi) Obtain formal endorsement of the SPCR document;
- (vii) Update the roadmap for finalization and submission of the SPCR to CIF.

## 3. Mission Outcomes

- (i) An Aide-Memoire highlighting the key mission findings, agreed actions and next steps for the Uganda PPCR, including a revised roadmap for submission of the SPCR to CIF;
- (ii) Revised SPCR including the refined investment project concepts, results framework, confirmed implementation arrangements, etc.

## 4. Mission Team

	<b>Name</b>	<b>Designation</b>
Government	David O.O. Obong	Permanent Secretary, Ministry of Water and Environment (MWE)
	Paul Mafabi	Director for Directorate of Environmental Affairs (MWE)
	Chebet Maikut	Head, Climate Change Department (MWE), UNFCCC and PPCR National Focal Point for Uganda
	Bob Natifu	Principal Climate Change Officer and Alternate PPCR National Focal Point, Climate Change Department (CCD, MWE)
	Muhammad Semambo	Senior Climate Change Officer-Adaptation, Climate Change Department (CCD, MWE)

	<b>Name</b>	<b>Designation</b>
African Development Bank (AfDB)	Siham Mohamed Ahmed	Principal Natural Resources Management Specialist – Mission lead
	Ambrose Sunya Oroda	Technical Support Consultant for PPCR and FIP
	Aziz Bouzaher	International Consultant on AfDB PPCR Programs
World Bank (WB)	Ross Hughes	Senior Natural Resources Management Specialist, Environment and Natural Resources
	Lesya Verheijen	Consultant, Operations Officer, Environment and Natural Resources

## 5. Mission Agenda

The proposed mission agenda is appended below:

**DRAFT AGENDA FOR**  
**Pilot Program for Climate Resilience (PPCR) and Forest Investment Program (FIP)**  
**Second Joint Mission**  
**Uganda, 10-14 October 2016**

**KEY:**

<b>Joint FIP and PPCR Team</b>
<b>FIP Team</b>
<b>PPCR Team</b>

<b>Time</b>	<b>Activity description</b>	<b>Desired Outcomes</b>	<b>Venue</b>	<b>Participation</b>
<b>Monday, 10/10/2016</b>				
8:30 – 9:30	MDBs visiting Country Offices	Internal briefing	WB & AfDB Offices	<ul style="list-style-type: none"> <li>MDBs Mission Team members</li> </ul>
10:30 – 12:00	Courtesy call on PS MWE	Update on status of PPCR and FIP preparation and mission program	MWE	<ul style="list-style-type: none"> <li>Ministers, PS, PPCR/FIP Teams</li> <li>MDBs</li> <li>Directors (MAAIF, UNMA, KCCA, MEMD, OPM, MFPED, MOLG, NPA, NFA, DEA, NEMA, NWSC, UWA, DWRM, DWD)</li> <li>Commissioners (CCD, UNMA, MEMD, OPM, MFPED, MOLG, NPA, NFA, DEA, NEMA, NWSC, WMD, PPD, FSSD, DESSS )</li> <li>FAO</li> </ul>
12:00 – 13:00	Meeting with FIP and PPCR in- country Team	Updates on Progress and final Agenda for the week	MWE & Climate Change Department	<ul style="list-style-type: none"> <li>PPCR/FIP Teams</li> <li>FIP/PPCR Teams</li> <li>Technical Staff (FSSD, CCD, DESS, WMD, NFA, PPD, UNMA, MDB teams, FAO</li> </ul>
<b>13:00 – 14:00</b>	<b>Lunch</b>	<b>Lunch Break</b>	<b>All</b>	<b>All</b>
14:30 – 17:00	Meeting with Directorate of Water	Refined investment project of building rural and urban community	<ul style="list-style-type: none"> <li>PPCR team meets at</li> </ul>	<ul style="list-style-type: none"> <li>PPCR/FIP Teams</li> </ul>

Time	Activity description	Desired Outcomes	Venue	Participation
	Development and NWSC	resilience through improved catchment protection, water supply and storage.	MWE or CCD	<ul style="list-style-type: none"> <li>Technical Staff (CCD, RWSSD<sup>7</sup>, UWSSD<sup>8</sup>, WfPD<sup>9</sup>, FSSD)</li> <li>MDB teams, FAO, EU,</li> </ul>
	Working session on FIP	Responses Comments/feedback from Peer Review) on Draft FIP	FSSD	<ul style="list-style-type: none"> <li>FIP Team, MDBs</li> </ul>
<b>Tuesday, 11/10/2016</b>				
08:30 – 17:00	Working sessions on FIP	Updated draft FIP document	FSSD	<ul style="list-style-type: none"> <li>FIP Team &amp; MDBs</li> <li>Technical Staff (FSSD, CCD, DESSS, WMD, UWA, MoFPED, MAAIF, MEMD, NEMA)</li> </ul>
08:30-10:30	Meeting with NPA and NEMA	Alignment of the SPCR with country's vision 2040, NDP II and the SDGs	NPA	<ul style="list-style-type: none"> <li>PPCR Team</li> <li>Technical Staff (CCD) NPA, , NEMA, MDB teams, FAO, EU</li> </ul>
11.00 - 13.00	Meeting with UNMA and DWRM	Refined investment project on Strengthening hydro-metrological systems	UNMA	<ul style="list-style-type: none"> <li>PPCR team</li> <li>UNMA and DWRM staff</li> <li>MDBs</li> </ul>
<b>13.00-1400</b>	<b>Lunch Break</b>	<b>Lunch</b>		
14.00 - 1630	Meeting with Disaster Preparedness Department (Office of the Prime Minister)	Refined investment project component on Rehabilitation and protection of landslide prone regions (under PPCR project 5)	<ul style="list-style-type: none"> <li>OPM</li> </ul>	<ul style="list-style-type: none"> <li>PPCR teams, MDBs, OPM staff</li> </ul>
<b>Wednesday, 12/010/2016</b>				
08:30 – 17;00	Working sessions for FIP	Updated draft FIP document	MDB Team	FIP Team Technical Staff (FSSD, CCD, DESSS, WMD, UWA)

<sup>7</sup> RWSSD – Rural Water Supply and Sanitation Department, Directorate of Water Development (DWD, MWE)

<sup>8</sup> UWSSD – Urban Water Supply and Sanitation Department, Directorate of Water Development (DWD, MWE)

<sup>9</sup> WfPD – Water for Production Department, Directorate of Water Development (DWD, MWE)

<b>Time</b>	<b>Activity description</b>	<b>Desired Outcomes</b>	<b>Venue</b>	<b>Participation</b>
08:30 - 10:30	Meeting with MAAIF staff	Refined investment project of Enhancing climate resilience and food security	MAAIF (Entebbe)	<ul style="list-style-type: none"> <li>• PPCR/FIP Teams</li> <li>• MAAIF staff</li> <li>• MDB team</li> </ul>
11:00 – 12:30	Meeting with Directorate of Water Resources Management	Refined investment project on Sustainable catchment management through integrated land use to improve forest cover, agriculture production and reduce poverty in the Lake Kyoga Basin and Upper Nile WMZs	DWRM (Entebbe)	<ul style="list-style-type: none"> <li>• PPCR/FIP teams</li> <li>• DWRM, MAAIF staff</li> <li>• MDBs</li> </ul>
<b>13.00-1430</b>	<b>Lunch</b>	<b>Lunch Break</b>		
15:30 - 17:00	Meeting with MEMD, MOWT, UNRA, MOLHUD, MOLG and KCCA	Refined investment project on Strengthening climate resilience of urban areas and infrastructure	KCCA	<ul style="list-style-type: none"> <li>• PPCR team</li> <li>• MEMD, MOWT, UNRA, MOLHUD, KCCA, MOLG</li> <li>• MDBs</li> </ul>
<b>Thursday, 13/10/2016</b>				
08:00 - 10:00	Consolidation of SPCR and FIP priorities	Agreed positions for informing discussions with NCCAC	CCD or MWE	<ul style="list-style-type: none"> <li>• PPCR &amp; FIP Team and MDBs</li> </ul>
9:00-10:00 am	Meeting with Minister, PS-ST, Commissioner Aid liaison and MoFPED Staff.	Discuss estimated resources for Investment plans	MoFPED boardroom	<ul style="list-style-type: none"> <li>• MDBs</li> <li>• Commissioner CCD, FSSD, PPD</li> </ul>

<b>Time</b>	<b>Activity description</b>	<b>Desired Outcomes</b>	<b>Venue</b>	<b>Participation</b>
10:30 – 13:00	Meeting NCCAC	Endorsed PPCR and FIP Plans/documents	TBD	<ul style="list-style-type: none"> <li>• PPCR/FIP Teams</li> <li>• NCCAC, MDBs, FAO, etc.</li> </ul>
<b>13:00 – 14:00</b>	<b>Lunch</b>	<b>Lunch Break</b>	<b>all</b>	<b>All</b>
14:00 – 17:00	Working sessions for PPCR and FIP teams	Revised SPCR and FIP documents incorporating NCCAC inputs	CCD & FSSD	<ul style="list-style-type: none"> <li>• PPCR/FIP Teams</li> <li>• Technical Staff (CCD)MDB teams</li> </ul>



Friday, 14/10/2016				
09:00 – 11:00	Debriefing MWE	MWE endorsement of FIP and PPCR Plans/documents	MWE – New Boardroom	<ul style="list-style-type: none"> <li>• Ministers, PS</li> <li>• PPCR/FIP Teams</li> <li>• MDBs</li> <li>• Directors (MAAIF, UNMA, KCCA, MEMD, OPM, MFPED, MOLG, NPA, NFA, DEA, NEMA, NWSC, UWA, DWRM, DWD, MRA)</li> <li>• Commissioners (CCD, UNMA, MEMD, OPM, MFPED, MOLG, NPA, NFA, DEA, NEMA, NWSC, WMD, PPD, FSSD, DESSS, PPD)</li> <li>• FAO</li> <li>• MDBs</li> </ul>