

CLIMATE INVESTMENT FUNDS

CTF-SCF/TFC.3/5

October 13, 2009

Joint Meeting of the CTF and SCF Trust Fund Committees
Washington, D.C.
October 28, 2009

NOTE ON THE HARMONIZATION OF CIF RESULTS FRAMEWORKS

Introduction

1. At the meetings of the CIF Trust Fund Committees in May 2009, the following decisions were approved by the SCF Trust Fund Committee and the CTF Trust Fund Committee regarding proposals for a results measurement framework for each of the funds:

The SCF Trust Fund Committee: "... recognizes the need for consistency in results frameworks across the SCF programs. The Administrative Unit is requested to recruit a consultant to work with a working group of interested Committee members to ensure that a similar format is followed for each of the programs, to identify and fill any gaps, to consider consistency across all programs, and to develop, taking into account the results measurement framework of each program, an integrated framework for the SCF. "

The CTF Trust Fund Committee: "...requests the Administrative Unit, in collaboration with the MDB Committee, to prepare a log-frame representation of the results measurement framework, including revisions to Tier 2, consistent with the work being undertaken for other CIF programs."

2. As a result of these decisions, the CIF Administrative Unit invited Members of the SCF and CTF Trust Fund Committees to indicate if they would like to participate in a working group to guide a consultant in completing the requested work.

3. Eight countries replied (Australia, Canada, France, Japan, Sweden, Switzerland, UK, and United States) indicating that they would like to participate in the working group.

4. After receiving these nominations, the CIF Administrative Unit consulted with the Co-Chairs of the CTF and SCF Trust Fund Committees on how best to move forward in establishing the working group. As a result of these consultations, it was agreed that the CIF Administrative Unit should include on the agenda of the joint meeting of the CTF and SCF Trust Fund Committees a proposal on how best to move forward with the work of harmonizing the CIF results measurement frameworks.

Composition of working group

5. The joint meeting of the CTF and SCF Trust Fund Committees is requested to agree on the final membership of the working group, taking into account the expressions of interest referred to above. For this purpose, it is proposed that the following considerations be taken into account:

- a) the working group's membership should be balanced among contributor country and recipient country members;
- b) membership should be balanced among members from the CTF TFC and the SCF TFC; and
- c) The group should be limited in number in order to maximize its efficiency.

6. More specifically, it is proposed that a working group of 10 members be established, comprised of:

- a) one recipient country Member from the CTF Trust Fund Committee;
- b) one contributor country Member from the CTF Trust Fund Committee;
- c) one recipient country Member from each of the SCF Sub-Committees (a total of three);

- d) one contributor country Member from each of the SCF Sub-Committees (a total of three)¹, and
- e) Two representatives from the MDBs.

Functions and working modalities for the working group

7. The working group should:
- a) select the consultant ;
 - b) guide the work of the consultant with a view to ensuring that a similar format, agreed by the working group, is followed for the results measurement framework of the CTF and each program of the SCF; and
 - c) Advise the consultant in efforts to identify and fill any gaps, to consider consistency across all programs, and to develop, taking into account the results measurement framework of each program, an integrated framework for the SCF.
8. It is expected that the working group would meet with the consultant (this could be through a virtual meeting) at least three times: at the beginning, middle, and just prior to the end of the work. The working group and the consultant could decide on more frequent meetings as needed.
9. Once the working group has been constituted, the Administrative Unit will organize (by teleconference or video conference) a first meeting of the working group to agree on the process and guidance for moving forward and to select a consultant.
10. The Senior Monitoring and Evaluation Specialist in the Administrative Unit will be responsible for managing the process.

Schedule

11. The following schedule is proposed:
- a) First meeting of working group during the week of November 9.
 - b) Consultant recruited by end of November.
 - c) Meeting of working group with consultant during the week of December 1.
 - d) Second meeting of working group with consultant during the week of January 11.
 - e) Final meeting of working group with consultant during the week of February 8.
 - f) Draft report of consultant circulated to working group for approval February 15.
 - g) Final report of consultant disseminated to trust fund committees by March 1.

Terms of reference for the consultant

12. The joint meeting of the Trust Fund Committees is invited to review and approve the terms of reference for the consultant. A proposal for the terms of reference is annexed to this note.

¹ Recognizing that the SREP Sub-Committee has not yet been established, it is proposed that a representative for one of the countries that has announced a pledge to the SREP and one of the representatives that have been identified to serve on the Sub-Committee when it is established should be identified as members of the working group. Those who have announced pledges are Netherlands, Norway, Switzerland, UK, and the recipient countries that have been selected to be Sub-Committee members are Armenia, Bangladesh, Nicaragua, Solomon Islands, United Republic of Tanzania and Yemen.

Annex

Draft Terms of Reference Consultancy on Harmonization of Results Frameworks for Programs under the Climate Investment Funds

Background

1. The Climate Investment Funds (CIF), comprising two new funds, the Clean Technology Fund (CTF) and the Strategic Climate Fund (SCF), were approved by the Board of Directors of the World Bank on July 1, 2008. To date, donors have pledged over US\$6 billion to the funds. The CIF are important new sources of interim funding through which five Multilateral Development Banks (MDBs) will provide additional grants and concessional financing to developing countries to address urgent climate change challenges. The five MDBs are: the African Development Bank, the Asian Development Bank, the European Bank for Reconstruction and Development, the Inter-American Development Bank, and the World Bank Group.
2. The CTF will provide scaled-up financing to demonstrate, deploy and transfer low-carbon technologies with a significant potential for long-term greenhouse gas emissions savings. The SCF will finance the piloting of new development approaches or scale-up of activities aimed at a specific climate change challenge or sectoral response. The first of these programs, the Pilot Program on Climate Resilience (PPCR) has been launched. Two others (on forestry and renewable energy scale-up) have recently been approved by the SCF Trust Fund Committee after a year of multi-stakeholder consultations and negotiations.
3. The design of the CTF and the SCF each provide for annual reporting on monitoring and evaluation of the funds. An independent evaluation of the operations of each fund and the impacts of the activities carried out under the funds is to be carried out after three years of operations. Each Trust Fund Committee is responsible for ensuring monitoring and periodic independent evaluation of the fund is oversees. To assist in achieving these objectives, the Trust Fund Committees have agreed to develop a result measurement framework for each fund and targeted program under the SCF.
4. At its meeting in May 2009, the CTF Trust Fund Committee reviewed a proposal for a CTF Results Measurement System, (document CTF/TFC.3/8) and agreed that further work should be undertaken before the system could be approved. Nevertheless, the Committee agreed that Tier 1 and Tier 3 of the proposed results measurement system, amended to take into account the comments made by the Committee, should serve as a provisional basis for designing program/projects to be financed by the CTF so program development can proceed.

5. The CTF Trust Fund Committee further requested the Administrative Unit, in collaboration with the MDB Committee, to prepare a log-frame representation of the results measurement framework, including revisions to Tier 2, consistent with the work being undertaken for other CIF programs.

6. Recognizing that the CTF is pioneering new approaches, the CTF Trust Fund Committee agreed that the results measurement system should remain flexible to adapt to policy decisions of the Committee and experience gained on the ground.

7. At the meeting of the SCF Trust Fund Committee in May 2009, the Trust Fund Committee took note of the work on a proposed log frame for the Program for Scaling Up Renewable Energy in Low Income Countries (SREP) and recognized the need for consistency in results frameworks across the SCF programs. The Administrative Unit was requested to recruit a consultant to work with a working group of interested committee members to ensure that a similar format is followed for each of the programs, to identify and fill any gaps, to consider consistency across all programs, and to develop, taking into account the results measurement framework of each program, an integrated framework for the SCF.

8. At the meeting of the PPCR Sub-Committee in May 2009, the Sub-Committee provisionally approved a PPCR Results Framework that had been developed by a working group (document PPCR/SC.3/6) and noted that existing monitoring and evaluation procedures and processes of the MDBs should be used to monitor results at the project and country level in order to strengthen existing systems and reduce transaction costs. The Sub-Committee also noted that the SCF Trust Fund Committee had agreed that a process is to be established for ensuring consistency among the results frameworks for the SCF targeted programs and for developing an overarching framework for the SCF. The Sub-Committee recognized that the PPCR results framework will be considered in that process and requested that any proposed revisions to the framework be brought back to the Sub-Committee for its consideration.

Duties and Responsibilities

9. The consultant will work under the guidance and direction of the working group of PPCR Sub-Committee Members to be established and confirmed by the Co-Chairs of the PPCR Sub-Committee.

10. The consultant will be generally supervised by the Program Manager of the CIF Administrative Unit, reporting on a daily basis to the Senior Monitoring and Evaluation/Knowledge Management Specialist in the CIF Administrative Unit.

11. The consultant will prepare proposals for harmonized CIF results frameworks², taking into account the work that has already been undertaken for each fund or program and the

² This will include results frameworks for the Clean Technology Fund, the Strategic Climate Fund, and the three targeted programs of the SCF: Pilot Program for Climate Resilience, Forest Investment Program and the program for Scaling up Renewable Energy in Low Income Countries.

guidance of the CIF Committees. It is expected that each framework will follow a similar format. The proposals prepared by the consultant, and cleared by the working group, will be presented to the appropriate Committee or Sub-Committee for approval. The working group may wish to submit its own report and views on the proposals to the Committees or Sub-Committees.

12. In carrying out this work, the consultant should identify different approaches to results management, present the pro's and con's to each approach as it would be applied to the CIF, and seek guidance from the working group as to which approach should be followed.

13. The consultant should also identify and fill any gaps in the work that has been undertaken to date and should seek to achieve consistency across all programs.

14. For the SCF, the consultant should develop an integrated framework that builds upon, and takes into account, the results measurement framework of each SCF targeted program.

Expected Deliverable

15. Harmonized results frameworks for the CTF, the three SCF targeted programs (the Pilot Program for Climate Resilience, the Forest Investment Program, and the Program for Scaling-Up Renewable Energy in Low Income Countries) and an integrated results framework for the SCF.

Time Frame

16. Program of work to be agreed with working group and Administrative Unit.

17. It is expected that the results of this work will be presented to the joint meeting of the CTF and SCF Trust Fund Committees in March 2010.

Payment

18. Based on negotiations with HR according to STC rates.