

CLIMATE INVESTMENT FUNDS

Joint CTF-SCF.17/5
May 9, 2017

Joint Meeting of the CTF and SCF Trust Fund Committees
Washington, D.C.
Wednesday, June 7, 2017

Agenda Item 5

PROPOSAL ON THE FUTURE OF THE PARTNERSHIP FORUM

PROPOSED DECISION

The joint meeting of the CTF and SCF Trust Fund Committees, through a decision by mail, reviewed the document JOINT CTF-SCF.17/5, *Proposal on the Future of the Partnership Forum*, and adopts the recommendation made by the CIF Administrative Unit to discontinue holding the Partnership Forum at regular intervals, but to retain the option to convene the Partnership Forum should the Program Manager of the CIF Administrative Unit, in consultation with the co-chairs of the joint meeting, deem it strategic to do so.

The joint meeting requests the CIF Administrative Unit to take the necessary steps to amend the CTF and SCF Governance Framework documents in accordance with paragraph 56 of the CTF Governance Framework and paragraph 59 of the SCF Governance Framework to reflect this decision.

I. Introduction

1. In November 2015, the Joint Meeting of the CTF and SCF Trust Fund Committees, having reviewed the document Joint CTF-SCF/15/4 *Proposal on the Future of the Partnership Forum*, requested the CIF Administrative Unit to prepare a revised paper, specifying the budget implications of the options proposed and any new options, and identifying the amendments that would be required to the Governance Framework Documents of the CTF and SCF to implement any of the proposed options. The joint meeting agreed to delay consideration of this paper to take into account the development of the business plan and first year work plan of the CIF Evaluation and Learning special initiative. This paper responds to the request of the joint committee for a revised proposal on the future of the Partnership Forum.

II. Background

2. The Governance Documents of the CIF state that the Partnership Forum:
 - a. Is a broad-based meeting of stakeholders of the CIF;
 - b. Will be convened every eighteen months to provide a forum for dialogue on the strategic directions, results and impacts of the CIF;
 - c. Will provide an opportunity for independent scientific, technical and other advice on major issues of implementation in integrating climate change and development and for sharing cutting edge knowledge concerning climate change challenges; and
 - d. Will be a meeting for dialogue and consultation and will not lead to written outcomes, such as agreed texts or declarations.
3. Since 2008, the CIF has held five Partnership Forums co-hosted by one of the five partner multilateral development banks (MDBs):
 - 2008: Washington, D.C. – The World Bank Group (WBG)
 - 2010: Manila, Philippines – Asian Development Bank (ADB)
 - 2011: Cape Town, South Africa – African Development Bank (AfDB)
 - 2012: Istanbul, Turkey – European Bank for Reconstruction and Development (EBRD)
 - 2014: Montego Bay, Jamaica – Inter-American Development Bank (IDB)
4. As the CIF portfolio has grown to 72 countries and advanced from investment plan preparation to project implementation and the number of stakeholders involved in the CIF has increased, so too has the Partnership Forum grown into a large, logistically complex, and resource intensive undertaking with more than 500 participants joining the 2014 Partnership Forum in Jamaica. The final cost for the 2014 Partnership Forum was approximately \$1,000,000 between the CIF Administrative Unit and the co-host IDB for the

convening of the event. This figure excludes preparatory missions by the CIF Administrative Unit and the IDB and the substantial amount of staff time from the CIF Administrative Unit, IDB and all CIF MDB partners that went into the preparation and execution of the forum.

5. The landscape of climate finance events has evolved considerably since 2008 with a proliferation of events held every year that address climate finance broadly at a global scale, as well as events that target specific sectors, regions, themes, or delivery partners (for example, national development banks or the private sector).
6. The scope of events organized by the CIF Administrative Unit and its MDB partners has also expanded since the CIF were established. Broadly, CIF events are intended to achieve one or more of five key objectives: (i) sharing lessons, knowledge, and results; (ii) fostering peer-to-peer learning; (iii) communicating/raising the visibility of CIF results and achievements; (iv) strengthening networks among different stakeholders; and (v) engaging in broader conversations on how to transition to low-carbon, climate resilient development. Table 1 summarizes the different ways in which the CIF engages with its stakeholders through events and the objectives that each type of event can potentially achieve. Several of these events are described in more detail below.

Table 1. CIF Events and Intended Objectives

		Share lessons, knowledge, results	Peer-to-peer learning	Communicate/raise visibility	Building networks	Engage in broader conversations
Event	Target Audience	Objectives				
Partnership Forum	Wide range of stakeholders (governments, MDBs, civil society, private sector, Indigenous Peoples, other climate funds) from within and outside the CIF	✓	✓	✓	✓	✓
Pilot country meetings	CIF recipient countries in a specific program	✓	✓	✓	✓	✓

Thematic work-shops/dialogues (e.g., concentrated solar power, geothermal, mini-grids, energy efficiency, climate services)	public and private actors (policy/decision-makers, project developers, financiers, MDBs) active in a specific sector; can be convened in association with a pilot country meeting	✓	✓		✓	
South-South exchanges (e.g., study tours, virtual exchanges)	Policy-makers and practitioners (including non-state actors) in CIF recipient countries	✓	✓		✓	
Sessions/side events at external fora (e.g., COP, Bonn Climate Change conference, WBG Annual Meetings, Carbon Expo, Adaptation Futures, UN Permanent Forum on Indigenous Issues, etc.)	Varies by event: Ministers or high-level government officials, climate change negotiators, private sector, civil society, Indigenous Peoples	✓		✓	✓	✓
BBLs/webinars (e.g., World Bank PPCR learning series)	Technical experts, practitioners, and decision-makers	✓	✓	✓	✓	✓

Note: This table includes events, side events, or sessions organized by CIF partners but does not capture events organized by third parties in which CIF representatives may participate as speakers.

III. Delivering Impact and Achieving Value through CIF Events

- Research shows that the kind of learning most likely to be applied is often obtained through the accumulation of ‘tacit knowledge’ – that is, through informal networks, colleagues, and experiences – and less through formal learning opportunities such as trainings or reading publications. Research also shows that learning networks and communities of practice are a good way to facilitate such learning, and that they are generally most effective when the learning areas are specific and technically focused, thus providing a clear and practical value-add to participants.¹ With this in mind, going forward the CIF Administrative Unit will increasingly focus on strengthening this kind of experiential,

¹ See Evaluation and Learning Special Initiative [Business Plan](#) pg. 6-8 for further discussion and references.

peer-to-peer and thematically focused joint learning and knowledge exchange through a variety of events and mechanisms.

8. The recently approved Evaluation and Learning Initiative will play an important role in increasing evidence-based learning at CIF events through the generation of evaluative evidence on topics of interest. It also aims to form “learning partnerships” for key evaluation themes, such as transformational change, which will include a range of CIF stakeholders to help design, guide and learn from evaluation activities in these areas. Finally, it will seek to create more integrated and continuously connected learning communities, building on the in-person events, through online platforms and exchanges. Importantly, many of these activities will also involve other climate funds and practitioners, including the Green Climate Fund (GCF), Global Environment Facility (GEF) and other funds as well as leading experts. These various activities are described in more detail below.
9. **Thematic learning events:** Building on its positive recent experience, the CIF Administrative Unit will continue to organize and strengthen South-South learning dialogues and knowledge exchanges on priority topics of interest to the CIF community. These events will leverage analytical and evaluative work undertaken on key topics of interest, as well as global expertise and localized knowledge and experience, in order to share and learn from best practices. These events tend to be smaller (25-50 persons) or may be virtual² with participation targeted toward practitioners active in the subject area - including CIF recipient country stakeholders- who have relevant knowledge and experience to share and an operational need to learn. Based on past CIF experience, such participants are much more likely to participate in thematic events with a specific focus that is relevant to them, than in wider-ranging conferences covering a broader scope of topics and themes. Topics for thematic-focused events in FY 17 include mini-grids, energy efficiency and urban resilience, among others. Some may also take a regional focus, as in PPCR and likely in energy efficiency. Events and workshops will also be more rigorously evaluated than in the past, in order to continuously improve their impact and maximize their utility for participants. To increase accountability among both organizers and participants for ensuring that the sharing and learning is applied and used beyond the events, follow up actions will be included as an integral part of each event.
10. **Pilot country meetings:** Pilot country meetings will continue to be a critically important forum for learning and knowledge exchange within each program. These will increasingly incorporate discussions of findings from evaluations and other analytical work

² A good example of virtual events is the World Bank’s PPCR Learning Series, organized by the World Bank PPCR focal point team and launched in January 2016, which presents and discusses emerging hot topics related to climate resilience. Each learning session features a specific theme (e.g., migration, food security, earth observation) and provides an opportunity to learn from and interact with academic researchers and practitioners that are experts in their field. The events are organized and broadcast virtually via WebEx to enable participation by speakers and other participants across the globe. At the time of writing, five sessions have been organized with another two planned in 2016.

which focus on identifying and learning from innovative approaches and best practices around particular sectors or themes. They will also seek to create dynamic and engaging formats for learning, building on best practices for knowledge retention, to enhance the experience for participants. Importantly, pilot country meetings will complement and build upon the more focused thematic learning events, to continuously build relationships and sharing knowledge with a wider set of practitioners. The series of regionally and topically focused PPCR events in FY 17 is a good example of this approach.³

11. **Online platforms for learning exchange:** In FY 17 the CIF Administrative Unit, through the Evaluation and Learning Initiative and knowledge management work program, will initiate one or more online platforms for interactive learning and knowledge exchange amongst CIF stakeholders.⁴ These are intended to complement the in-person thematic learning events and pilot country meetings by facilitating a more formal and continuously connected learning community within programs, sectors and themes. These online platforms will consist of a mobile-enabled, one-stop site for interactive knowledge sharing and exchange, communications, and virtual events such as webinars, in order to bridge the gap between events and facilitate increased relationship building and learning opportunities. An initial pilot was launched following the PPCR's Asia-Pacific learning exchange on urban resilience, and may potentially be applied more widely and PPCR and other programs.
12. **Global conferences and learning networks:** Finally, efforts will be made to facilitate increased participation of CIF actors in key global conferences such as those described in the table above, including the organization of panels and side sessions to share learning emanating from the Evaluation and Learning Initiative and other CIF knowledge work. Likewise, the CIF will step up efforts to engage in and contribute to existing learning networks within the sector, such as Climate-Eval and the Climate and Development Knowledge Network, which can also provide an effective platform for engaging with other major climate funds such as the GCF and GEF as well as other leading climate change mitigation and adaptation practitioners.

IV. Recommendation on the Future of the Partnership Forum

13. Organizing high quality events demands significant resources, both human and financial. In light of the variety of events and activities discussed above that will focus on eliciting tacit knowledge from practitioners and experts – including representatives of other climate funds and funding bodies – on specific topics, the CIF Administrative Unit does not see additional value in organizing a Partnership Forum at this time. The recommendation of the CIF Administrative Unit is to discontinue holding the Partnership Forum at

³ In FY 17, the PPCR is engaging in a series of regional peer exchanges leading up to, and informing the agenda for, the pilot country meeting to be held in Q4 FY 17. The PPCR will engage a consultant to evaluate the effectiveness of these learning events, with initial findings from the first event in October 2016 to feed into the planning and delivery of subsequent activities.

⁴ An example of an effective practitioner-drive online platform, which will serve as a reference point for the online platform(s) the CIF will develop is <http://www.healthfinancingafrica.org>.

regular intervals, but to retain the option to convene the Partnership Forum should the Program Manager of the CIF Administrative Unit, in consultation with the co-chairs of the joint meeting, deem it strategic to do so.

14. Recognizing that the Partnership Forum provides an opportunity for CIF trust fund committee members who might not otherwise participate in CIF events to learn from the experiences of CIF recipient countries and stakeholders, it is proposed that in lieu of the Partnership Forum, this experience sharing could be achieved by convening CIF trust fund committee meetings in a CIF recipient country once every two years, or when it seems appropriate to do so. The CIF Administrative Unit received very positive feedback on the convening of the June 2016 trust fund committee meetings in Oaxaca, Mexico from trust fund committee members and observers who indicated that there is real value in learning from CIF-supported projects on the ground. As happened in Mexico, the biennial trust fund committee meetings could be convened in association with one or more CIF program's pilot country meetings, if appropriate. Depending upon the location, there may be additional budget implications in convening CIF trust fund committee meetings outside of Washington, D.C.; the additional cost, however, would be significantly less than the approximately \$1,000,000 cost incurred for the 2014 Partnership Forum.⁵ The decision on when to convene CIF trust fund committee meetings in a recipient country would be made by the CIF Administrative Unit Program Manager, in consultation with the co-chairs of the joint meeting.
15. If the recommendation is adopted to discontinue holding the Partnership Forum at regular intervals, amendments would be required to the CTF and SCF Governance Framework Documents and the Rules of Procedure for the Meetings of the Trust Fund Committee of the Clean Technology Fund and Strategic Climate Fund. The proposed amendments are specified in the following section.

V. Amendments to the CTF and SCF Governance Framework Documents and the Rules of Procedure for the Meetings of the CTF and SCF Trust Fund Committees

16. Governance Framework for the Clean Technology Fund:

- (i) Paragraph 21:

Current language: "Members referred to in paragraphs 19(a) and (b) above will serve for an eighteen month term, except that such representatives may serve for a one year term for the first year of the CTF operations if so determined by the countries of the group that has identified them, and terms will be staggered

⁵ The cost of convening each set of CIF trust fund committee meetings in Washington, D.C. is about \$250,000. The cost of convening the June 2016 trust fund committee meetings in Oaxaca, Mexico was approximately the same.

so that not all Members are replaced each year. Retiring Members may be reappointed. Not more than one Member will be a representative of the same country at any given time.”

Proposed language: “Members referred to in paragraphs 19(a) and (b) above will serve for an eighteen month term. Retiring Members may be reappointed. Not more than one Member will be a representative of the same country at any given time. Members will be elected on a self-selection basis six months prior to the beginning of the new membership term. The Administrative Unit will facilitate the consultation process for the self-selection of members either through virtual means or in person on the margins of a relevant meeting or the Partnership Forum, should the Program Manager of the CIF Administrative Unit, in consultation with the co-chairs of the joint meeting of the CTF and SCF Trust Fund Committees, deem it strategic to convene the Partnership Forum.”

(ii) Paragraph 26:

Current language: “The CTF Trust Fund Committee will elect two co-chairs from among its Members to serve for an eighteen month term. One co-chair will be a representative of an eligible recipient country and the other co-chair will be a representative of a contributor country. The co-chairs will be elected at the Trust Fund Committee meeting convened six months following the Partnership Forum, recognizing that members of the Trust Fund Committee are to be selected at the Partnership Forum.”

Proposed language: “The CTF Trust Fund Committee will elect two co-chairs from among its Members to serve for an eighteen month term. One co-chair will be a representative of an eligible recipient country and the other co-chair will be a representative of a contributor country. The co-chairs will be elected immediately following the completion of the process for selection of new members to the CTF Trust Fund Committee.”

(iii) Paragraph 31:

Current language: “The Partnership Forum is a broad-based meeting of stakeholders of the CIF, including eligible recipient and contributor countries, MDBs, UN organizations, GEF, UNFCCC, the Adaptation Fund, bilateral development agencies, civil society organizations, private sector entities, and scientific and technical experts. The Partnership Forum will be convened every eighteen months to provide a forum for dialogue on the strategic directions, results and impacts of the CIF. The Partnership Forum will be co-chaired by a representative from an eligible recipient country and a representative from a contributor country elected from among government representatives participating in the Partnership Forum. The Partnership Forum will serve as a primary venue to identify

representatives from eligible recipient and contributor countries to serve as members of the CTF Trust Fund Committee pursuant to paragraphs 19 (a) and (b) above.”

Proposed language: “The Partnership Forum is a broad-based meeting of stakeholders of the CIF, including eligible recipient and contributor countries, MDBs, UN organizations, GEF, UNFCCC, the Adaptation Fund, bilateral development agencies, civil society organizations, private sector entities, and scientific and technical experts. The Partnership Forum will provide a forum for dialogue on the strategic directions, results and impacts of the CIF. The timing for the convening of the Partnership Forum will be determined by the CIF Administrative Unit Program Manager in consultation with the co-chairs of the joint meeting of the CTF and SCF Trust Fund Committees, should they deem it strategic to convene the Partnership Forum.”

17. Governance Framework for the Strategic Climate Fund

(i) Paragraph 16:

Current language: “Members referred to in paragraphs 14(a) and (b) above will serve for an eighteen month term, except that they will serve for a one year term for the first year of the SCF operations. Retiring Members may be reappointed. Not more than one Member will be a representative of the same country at any given time.”

Proposed language: “Members referred to in paragraphs 14(a) and (b) above will serve for an eighteen month term. Retiring Members may be reappointed. Not more than one Member will be a representative of the same country at any given time. Members will be elected on a self-selection basis six months prior to the beginning of the new membership term. The Administrative Unit will facilitate the consultation process for the self-selection of members either through virtual means or in person on the margins of a relevant meeting or the Partnership Forum, should the Program Manager of the CIF Administrative Unit, in consultation with the co-chairs of the joint meeting of the CTF and SCF Trust Fund Committees, deem it strategic to convene the Partnership Forum.”

(ii) Paragraph 21:

Current language: “The SCF Trust Fund Committee will elect two co-chairs from among its Members to serve for an eighteen month term. One co-chair will be a representative of an eligible recipient country and the other co-chair will be a representative of a contributor country. The co-chairs will be elected at the Trust Fund Committee meeting convened six months following the Partnership

Forum, recognizing that members of the Trust Fund Committee are to be selected at the Partnership Forum.”

Proposed language: “The SCF Trust Fund Committee will elect two co-chairs from among its Members to serve for an eighteen month term. One co-chair will be a representative of an eligible recipient country and the other co-chair will be a representative of a contributor country. The co-chairs will be elected immediately following the completion of the process for selection of new members to the SCF Trust Fund Committee.”

(iii) Paragraph 27:

Current language: “Unless otherwise determined by the SCF Trust Fund Committee under paragraph 20(c), members of each SCF Sub-Committee will serve for eighteen month terms. Retiring members may be reappointed. Not more than one Member will be a representative of the same country at any given time.”

Proposed language: “Unless otherwise determined by the SCF Trust Fund Committee under paragraph 20(c), members of each SCF Sub-Committee will serve for eighteen month terms. Retiring members may be reappointed. Not more than one Member will be a representative of the same country at any given time. Members will be elected on a self-selection basis six months prior to the beginning of the new membership term.”

(iv) Paragraph 32:

Current language: “The Partnership Forum is a broad-based meeting of stakeholders of the CIF, including eligible recipient and contributor countries, MDBs, UN organizations, GEF, UNFCCC, the Adaptation Fund, bilateral development agencies, civil society organizations, private sector entities, and scientific and technical experts. The Partnership Forum will be convened every eighteen months to provide a forum for dialogue on the strategic directions, results and impacts of the CIF. The Partnership Forum will be co-chaired by a representative from an eligible recipient country and a representative from a contributor country elected from among government representatives participating in the Partnership Forum. The Partnership Forum will serve as a primary venue to identify representatives from eligible recipient and contributor countries to serve as members of the CTF Trust Fund Committee pursuant to paragraphs 19 (a) and (b) above.”

Proposed language: “The Partnership Forum is a broad-based meeting of stakeholders of the CIF, including eligible recipient and contributor countries, MDBs, UN organizations, GEF, UNFCCC, the Adaptation Fund, bilateral development

agencies, civil society organizations, private sector entities, and scientific and technical experts. The Partnership Forum will provide a forum for dialogue on the strategic directions, results and impacts of the CIF. The timing for the convening of the Partnership Forum will be determined by the CIF Administrative Unit Program Manager in consultation with the co-chairs of the joint meeting of the CTF and SCF Trust Fund Committees, should they deem it strategic to convene the Partnership Forum.”

18. Rules of Procedure for the Meetings of the Trust Fund Committee of the Clean Technology Fund

(i) Paragraph 4:

Current language: “Unless other appropriate arrangements are made by the Administrative Unit with agreement of the CTF Trust Fund Committee, the Meetings shall take place at the seat of the Administrative Unit, except where held in conjunction with the Partnership Forum.”

Proposed language: “Unless other appropriate arrangements are made by the Administrative Unit with agreement of the co-chairs of the CTF Trust Fund Committee, the Meetings shall take place at the seat of the Administrative Unit.”

(ii) Paragraph 9:

Current language: “For the purpose of identifying a term of any Member under paragraph 21 of the CTF Governance Framework Document, an eighteen month term shall run from the first day of the Trust Fund Committee meeting convened six months following a Partnership Forum until the day before the first day of the Trust Fund Committee meeting convened six months following the next Partnership Forum, recognizing that members of the Trust Fund Committee are to be selected at the Partnership Forum.”

Proposed language: “For the purpose of identifying a term of any Member under paragraph 21 of the CTF Governance Framework Document, the next eighteen month term shall commence at the Trust Fund Committee meeting in November/December 2017, and every eighteen months thereafter, recognizing that members of the Trust Fund Committee are to be selected through a consultation process either in person or through virtual means, initiated six months prior to the beginning of each new term.”

(iii) Paragraph 24:

Current language: “Pursuant to paragraph 26 of the CTF Governance Framework Document, the CTF Trust Fund Committee shall, at the meeting of the

Trust Fund Committee convened six months following the Partnership Forum, elect (i) a representative from an eligible recipient country, and (ii) a representative from a contributor country, from among its Members to act as the Co-Chairs for the duration of the next term.”

Proposed language: “Pursuant to paragraph 26 of the CTF Governance Framework Document, the CTF Trust Fund Committee shall, at the meeting of the Trust Fund Committee marking the beginning of each new eighteen month term, elect (i) a representative from an eligible recipient country, and (ii) a representative from a contributor country, from among its Members to act as the Co-Chairs for the duration of the term.”

19. Rules of Procedure for the Meetings of the Trust Fund Committee of Strategic Climate Fund

(i) Paragraph 4:

Current language: “Unless other appropriate arrangements are made by the Administrative Unit with agreement of the SCF Trust Fund Committee, the Meetings shall take place at the seat of the Administrative Unit, except where held in conjunction with the Partnership Forum.”

Proposed language: “Unless other appropriate arrangements are made by the Administrative Unit with agreement of the co-chairs of the SCF Trust Fund Committee, the Meetings shall take place at the seat of the Administrative Unit.”

(ii) Paragraph 9:

Current language: “For the purpose of identifying a term of any Member under paragraph 16 of the SCF Governance Framework Document, an eighteen month term shall run from the first day of the Trust Fund Committee meeting convened six months following a Partnership Forum until the day before the first day of the Trust Fund Committee meeting convened six months following the next Partnership Forum, recognizing that members of the Trust Fund Committee are to be selected at the Partnership Forum.”

Proposed language: “For the purpose of identifying a term of any Member under paragraph 16 of the SCF Governance Framework Document, the next eighteen month term shall commence at the Trust Fund Committee meeting in November/December 2017, and every eighteen months thereafter, recognizing that members of the Trust Fund Committee are to be selected through a consultation process either in person or through virtual means, initiated six months prior to the beginning of each new term.”

(iii) Paragraph 25:

Current language: “Pursuant to paragraph 21 of the SCF Governance Framework Document, the SCF Trust Fund Committee shall, at the meeting of the Trust Fund Committee convened six months following the Partnership Forum, elect (i) a representative from an eligible recipient country, and (ii) a representative from a contributor country, from among its Members to act as the Co-Chairs for the duration of the next term.”

Proposed language: “Pursuant to paragraph 21 of the SCF Governance Framework Document, the SCF Trust Fund Committee shall, at the meeting of the Trust Fund Committee marking the beginning of each new eighteen month term, elect (i) a representative from an eligible recipient country, and (ii) a representative from a contributor country, from among its Members to act as the Co-Chairs for the duration of the term.”