

**Zambia**  
**Forest Investment Program**  
**Joint Mission Terms of Reference for FIP Investment Plan**

**FINAL DRAFT for Submission to FIP SC**

**May 19th 2015**

**Executive Summary: Objective, Dates, Government Lead**

The Government of Zambia is one of the newly selected countries to benefit from the Forest Investment Program (FIP) of the Climate Investment Fund. In this regard, a joint mission of the Multilateral Development Banks and their partners will take place in Zambia between June 27 and July 1, 2016 to provide support to the Government of Zambia (GRZ) on the development of activities to be financed by the Forest Investment Program (FIP). The joint mission will be led by the GRZ under the Interim Climate Change Secretariat (ICCS), currently the national focal point for FIP in Zambia. The Multilateral Development Banks (MDBs) include the African Development Bank (AfDB), The International Finance Corporation (IFC) and The World Bank (WB). As previously requested by the GRZ, the World Bank is the lead agency among the MDBs for the Zambia FIP. It is also expected that key partners from the UN will join the mission, namely the United Nations Development Programme (UNDP), the Food and Agriculture Organization of the United Nations (FAO) and the United Nation Environmental Programme (UNEP).

The overall objective of this mission is to support the Government of Zambia (GRZ) in preparing its FIP Investment Plan and to provide support on the activities to be financed by the Forest Investment Program (FIP). The mission will take stock of the scope of current activities in the forestry sector and develop a plan of action, for preparation of the Investment Plan which will be cognizant of potential investment activities. This Joint Mission will produce an Aide Memoire outlining the issues, process, key findings and recommendations and a draft of components of the proposed Investment Plan including a detailed outline.

These Terms of Reference (ToRs) for the proposed FIP Zambia Joint Mission include: (a) Introduction and background; (b) Mission objectives and anticipated outputs; (c) Coordination with development partners and civil society; (d) Mission composition (team members, assignments and expert outputs); (e) Mission agenda; (f) Government of Zambia and MDBs contacts; and (g) Key stakeholders.

**A. Introduction and Background**

1. The Government of the Republic of Zambia (GRZ) is one of the countries selected in May 2015 to benefit from a new round of support from the Forest Investment Program (FIP)

of the Climate Investment Funds, which is a financing mechanism designed to initiate transformational change toward low-carbon and climate-resilient development through scaled-up financing. The Forest Investment Program (FIP) is a \$785 million funding window of the CIF. The FIP supports the efforts of participating countries to promote reduced emissions from deforestation and forest degradation (REDD+) and promotes conservation and sustainable management of forests that leads to enhancement of carbon stocks. It encourages programmatic investments aimed at transformational change in the forest sector and other sectors that affect forests.

2. The Ministry of Planning through the Interim Climate Change Secretariat (ICCS) is the government-designated implementing agency for the FIP in Zambia and facilitates coordination as needed with relevant ministries, departments and agencies such as the Department of Forestry and the Department of Parks and National Wildlife. Zambia is also a recipient of support from UN REDD which notably has helped the Government prepare their national REDD+ strategy. The Government requested the MDBs under the FIP and the UN agencies to together support the preparation of a consolidated Investment Plan (IP) for the National REDD+ strategy rather than a FIP-specific IP as has been prepared in most other FIP-recipient countries to date.
3. A scoping mission was undertaken for several days beginning in October 5, 2015 to bring partners together to discuss the process and schedule for preparation of the IP. The mission consulted with various Government agencies as well as with development partners. Information on FIP background and processes were provided to all stakeholders. The IFC and the AfDB participated in the scoping mission via a videoconference.
4. A follow up technical discussions mission took place February 10th – 11th, 2016 to advance preparatory arrangements for the IP, initiate and agree on the Project Preparation Grant activities and to prepare a draft IP table of contents to serve as a guidance for the development of a robust IP. AfDB and the IFC did not participate in that mission but the UN was represented by UNDP, FAO, and UNEP.
5. On November 11, 2015 the GRZ formally requested the FIP program preparation grant (PPG) of \$250,000 to be administered through the World Bank. This has since been approved by the CIF subcommittee. The three MDBs have also been allocated preparatory funding to support the process and to cover internal administrative costs of their FIP activities.

## **B. Joint Mission objectives and anticipated outputs**

### **B1. Joint Mission Objectives**

6. This first formal Joint MDB mission whose principal objective will be to support the IP preparation process will take place in Lusaka (and with a field visit), and will specifically focus on:
  - I. Consulting with stakeholders on the objectives of the FIP, its key operational policies, benefits, how it aligns with national forestry and REDD+ strategies;
  - II. Stocktaking of current and planned activities of all relevant stakeholders related to REDD+, including technical and analytical studies related to REDD+ and forestry;
  - III. Agree on the key thematic areas for inclusion in the IP document as a way of narrowing down the focus for the FIP activities in Zambia;
  - IV. Stocktaking of private sector initiatives in the sector, particularly investments in forest mitigation measures, and discuss key issues and opportunities for private sector engagement;
  - V. Discuss the institutional arrangements for a multisectoral and stakeholder coordinating mechanism at the national level for managing the implementation of the FIP investment in Zambia. The anticipated structure would build on existing REDD+ and other relevant ongoing initiatives structure to ensure a close coordination amongst all forest related activities across the country; and
  - VI. Identification and scope of analytical work and background studies.
  - VII. Agreement between the GRZ, MDBs and the UN agencies on the division of labor, development of required consultant ToRs, work plan for the IP, needs for consultations, and a roadmap for its development.
  - VIII. Review work on REDD+ in the Eastern Province being managed by the ICCS under a World Bank-supported program called the Zambia Integrated Forest Landscape Program (ZIFL-P) and ensure complementarities between the two efforts.
7. The joint mission will assist the Government in developing and costing an IP for the REDD+ National Strategy which will encompass programs and projects to be implemented in line with FIP objectives. Such programs and projects will be required to meet the investment criteria for programming priorities under FIP and will include provisions for the development of a results framework, monitoring mechanism and a component for information sharing lessons learning across all the activities under the FIP.
8. The mission will discuss and agree on the dates for a second joint mission later in the year to validate the draft FIP Investment Plan to be produced following this first Joint Mission.
9. The Government intends to finalize the FIP Investment Plan for submission to the May 2017 FIP CIF FIP Committee meeting.

## **B2. Joint Mission Output**

10. The outputs of the Joint Mission will include two elements:

- a) An Aide-memoire outlining the issues, process, and key findings summarizing the progress made to date by the government and MDBs, next steps to complete the processes of developing the IP and recommendations of the mission. Specifically, the AM would include the following:
  - A draft consolidated list of key stakeholders, analytical research papers and existing/planned project activities on REDD+;
  - A work plan for the IP development process;
  - A brief report on the potential areas to be further investigated and discussed for FIP investment;
  - A draft government institutional structure for the development and implementation of the IP;
  - Draft ToRs of the consultants to assist in preparing the FIP IP; and
  - An agreed timeline for the FIP IP preparation process.
- b) An agreed/finalized table of contents for the draft Proposal of the IP which will incorporate the findings of the stocktaking analysis, the outcomes of the consultations prior and during the Joint Mission and a tentative schedule with roles and responsibilities of the different stakeholders in completing the IP late in 2016. The Draft outline of the IP will be guided by the FIP operational guidelines.

### **C. Coordination by GRZ with Development Partners and Civil Society**

11. The first technical mission will be coordinated by the ICCS through the FIP focal point, Mr. Kasaro Deuteronomy, and comprise of other technical staff from the relevant line ministries. The FIP focal person in collaboration with relevant departments e.g. Department of Forestry, will ensure that all key stakeholders such as DNPW, Zambia Environmental Management Authority, Ministry of Agriculture, etc. are informed and available for consultation for the preparation, development of the IP.

12. The GRZ has been in contact with civil society organizations representatives and other development partners as part of the UN-REDD program and during the IP scoping mission. Mechanisms and approaches that have already been developed will be used during this mission, hence the GRZ would further organize and engage in similar meetings and communications with other development partners in order to identify interest in contributing to the Joint Mission and opportunities for interest to co-finance elements of the investment plan.

## D. Joint Mission Composition

13. The joint mission will be composed of the following people (list may be refined upon availability of the experts and additional expertise needed).

Expertise	Institution	Location	Name
<b>Government of the Republic of Zambia</b>			
Overall Government Lead	ICCS	Zambia	David Kaluba
Zambia FIP Focal Person	ICCS	Zambia	Deuteronomy Kasaro
Forestry Specialist	Forestry Department		TBD
Monitoring and Evaluation Specialist	ICCS	Zambia	Doreen Bwalya
Environment Specialist	Zambia Environment Management Authority	Zambia	TBD
Safeguards Specialist	ICCS	Zambia	Carole
Wildlife Specialist	DNPW	Zambia	TBD
<b>Climate Change Specialist</b>			
Forest Management Specialist	ICCS	Zambia	Tasila
<b>Forest Research</b>			
<b>Private Sector Development</b>			
<b>REDD+ Policy and Strategy</b>			
<b>Multilateral Development Banks</b>			
AfDB Task team leader Zambia FIP	AfDB	Abidjan	Bamba Diop
AfDB In-country Focal Person Zambia FIP	AfDB	Zambia	Lewis Bangwe
Forest Management Specialist	AfDB	Abidjan	Gareth Philips
Environmental Specialist	AfDB	Abidjan	Oroda Ambrose
Safeguard Specialist	AfDB	Abidjan	Mark Eghan
<b>Sr. Operations Officer</b>			
Forest Sector Specialist	IFC	South Africa	Joyita Murkerjee
Energy Sector Specialist	IFC		TBD
Task team leader WB Zambia FIP	WB	Washington	Douglas J. Graham
Co-Team Lead and Environmental Specialist	WB	Zambia	Iretomiwa Olatunji
Environmental Safeguards Specialist	WB	Zambia	Mwansa Lukwesa
REDD+ Safeguards Specialist	WB	Washington	Ken Green

Social Safeguard Specialist	WB	Washington	Paula Lytle
Environmental and Operations Specialist	WB	Washington	Carlos Cordova
Mission support	WB	Lusaka	Wisdom Mulenga
<b>Other Partners</b>			
Forest Specialist	FAO	Rome	Crete Phillippe
Regional Program Coordinator	UNDP	Kenya	Elsie Attafuah
Zambia coordinator	UNDP		
	UNEP		TBD
Private Sector Representative			
CSO Representatives			
Local Community Representative			

14. Broad stakeholder participation is intended to ensure program ownership and implementation support by the government, national stakeholders and development partners. The mission is expected to engage representatives from the following stakeholders among others:

- Ministry of Finance ;
- Ministry of Planning;
- Ministry of Environment and Natural Resources Technology;
- Ministry of Agriculture;
- Ministry of Energy;
- Zambia Environmental Management Agency;
- Non-Governmental Organizations, Forest Forum and Civil Society;
- Local Communities;
- Private Sector;
- Local Government; and
- Development Partners

#### E. Draft Mission Agenda

Time	Activity Description	Venue
<b>Monday June 27, 2016</b>		
<b>AM</b>	Opening session with senior GRZ representatives, all mission members	ICCS
		ICCS
	Lunch	
<b>PM</b>	Technical discussion on IP Table of Contents	ICCS

	Technical discussion on consultant TORs	ICCS
	Coffee	
	Technical discussions continue	
<b>Tuesday June 28, 2016</b>		
<b>AM</b>	Technical discussions on IP work plan	ICCS
	Lunch	
	Departure for field to visit ongoing REDD+ project	
<b>Wednesday June 29, 2016</b>		
<b>AM</b>	Field visit to REDD+ Project	TBD
	Lunch	
<b>PM</b>	Discussion with project proponent and stakeholders on orientation of REDD+ IP	
<b>Thursday June 30, 2016</b>		
<b>AM</b>	Return to Lusaka	ICCS
	Lunch	
<b>PM</b>	Meeting with technical staff from ICCS and relevant government agencies to go over the comments and recommendations from the discussions to date and field trip. Drafting of Aide-memoire.	
<b>Friday July 1, 2016</b>		
<b>AM</b>	Meeting with senior GRZ representatives and mission members to debrief on the Aide-Memoire	ICCS/Ministry of Planning
	Lunch Break	

#### **F. Mission Contacts**

1. Zambia Government: Deuteronomy Kasaro, FIP Focal Point, Interim Climate Change Secretariat, Ministry of Planning, [deuteronomykasaro@znccs.org.zm](mailto:deuteronomykasaro@znccs.org.zm)
2. World Bank (lead MDB):
  - (i) Gerhard Dieterle, Forests Adviser and FIP Focal Point, World Bank [gdieterle@worldbank.org](mailto:gdieterle@worldbank.org)
  - (ii) Douglas J. Graham, Task team Leader and Senior Environmental Specialist, World Bank [dgraham@worldbank.org](mailto:dgraham@worldbank.org)