



CIF Evaluation and Learning Special Initiative: Update and Extension Concept Note

DATE January 31, 2019

PLACE Ouarzazate, Morocco

VENUE Joint Meeting of the CTF and SCF Trust Fund Committees





CIF Evaluation and Learning (E&L) Initiative

The E&L Initiative enables learning that is relevant, timely and used to inform decisions and strategies for both CIF and the wider climate finance sector. It includes over 30 evaluation and learning studies covering four priority learning themes.



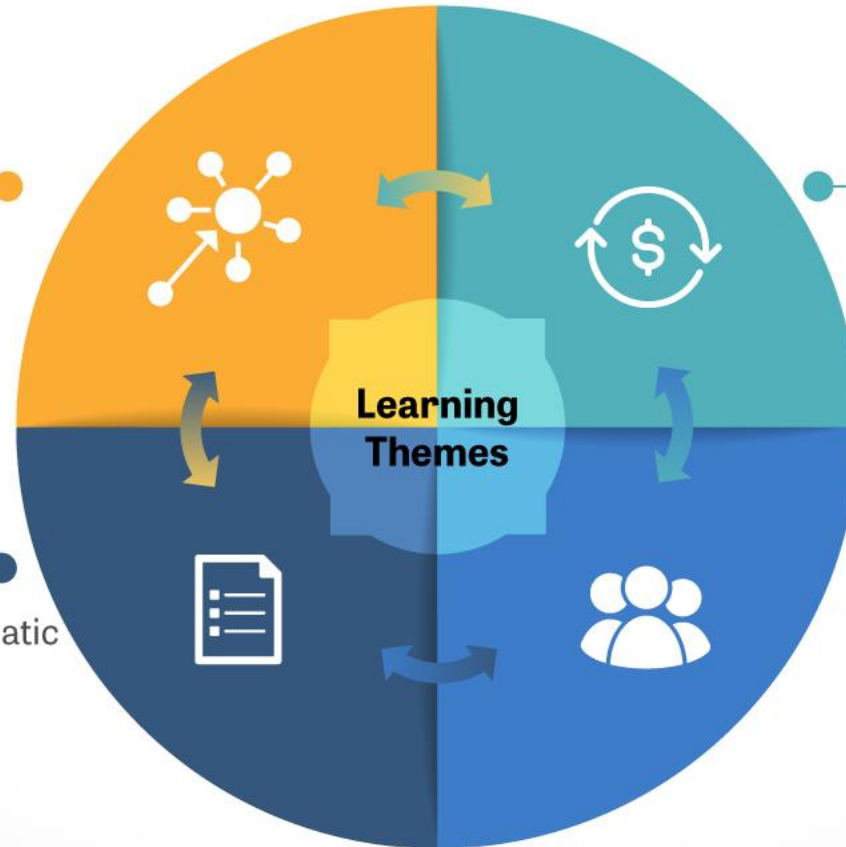
Transformational Change

Understanding and assessing CIF contributions to transformational change, across programs and dimensions.



CIF Design & Approach

Evaluating the effectiveness of the CIF Programmatic Approach as a delivery modality, as well as other program-specific strategies and approaches.



Private Sector Investment



Investigating financing models and experiences in CIF programs and sectors, and the role of concessional finance.

Local Stakeholder Engagement & Benefit



Exploring CIF local stakeholder engagement strategies, Indigenous Peoples, gender and other topics focused on local actors.

Key Features

- Guided by an independent Advisory Group
- Focus on applied learning
- Strategic and demand-driven studies
- Participatory processes

E&L Update: Progress and results

- Strategic and thematic evaluations:
 - Transformational Change
 - CIF Programmatic Approach
 - DGM and Forest Enterprises in FIP
 - Concessional Finance in CTF
 - Priority topics in PPCR
 - Local stakeholder engagement
- Twenty-one demand-driven studies by CIF entities
- Broad dissemination and use of findings and lessons



E&L Update: Progress and results



BloombergNEF

The Clean Technology Fund and Concessional Finance

Lessons Learned and Strategies Moving Forward

November 6, 2018

Evaluation and Learning

Building an Evidence Base on How Private Sector Investments Support Gender-Sensitive, Climate Resilient Development: Tajikistan Case

QUICK FACTS

- Relevant CIF program(s): Water Resilience
- Implementing agency: UN Women
- Target date: March 2019
- Status: Inception phase

OBJECTIVE

Methods used: Qualitative evaluation based on a multi-pronged approach developed by the University of Geneva (UG) to assess adaptive capacity in Switzerland and China. The use of some quantitative indicators is being considered as well.

CONTEXT

The study aims to assess the potential role of the private sector in supporting gender-sensitive, climate resilient development in Tajikistan. It focuses on the role of private sector investments in supporting gender-sensitive, climate resilient development in Tajikistan. The study aims to assess the potential role of the private sector in supporting gender-sensitive, climate resilient development in Tajikistan. It focuses on the role of private sector investments in supporting gender-sensitive, climate resilient development in Tajikistan.

INTENDED USERS

The study will be used by the private sector, government, and other stakeholders to inform their policies and practices. It will also be used by the private sector, government, and other stakeholders to inform their policies and practices. It will also be used by the private sector, government, and other stakeholders to inform their policies and practices.

CASE study

Minimising first-mover adaptation costs: Jamaica

Microfinance is a critical enabler of climate resilience, but it provides opportunities with about access to the financial services that are needed to address their most pressing needs. This case study is part of a series that examines emerging financial products and services that are being developed in response to a variety of climate observations leading to their emergence in Jamaica.

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Walk a mile in her shoes

Using a behavioral lens to improve provided insights into the challenges rural women live by, governed by customary rights and traditional role models, stereotypes, and identity.

Final Report

A Learning Review of the Dedicated Grant Mechanism (DGM) for Indigenous Peoples and Local Communities in the Forest Investment Program (FIP) of the Climate Investment Funds (CIF)

Final Report

Submitted by: itad

Final Report

Evaluation of the Climate Investment Funds' Programmatic Approach

Final Report and Management Response

November 23, 2018

Submitted by: ICF

Final Report

Evaluation of the Climate Investment Funds' Programmatic Approach

Final Report and Management Response

November 23, 2018

Submitted by: ICF

Final Report

MICROFINANCE FOR CLIMATE ADAPTATION: FROM READINESS TO RESILIENCE

Submitted by: OBI

Report

Evidence synthesis on transformational change in the Climate Investment Funds

Submitted by: OBI

Final Report

Building a Climate-Resilient Water Governance Framework in Bolivia

Submitted by: ICF

Final Report

Evaluation and Learning: Engagement of Women's Organizations, Women and Gender-Related Groups in the Design and Implementation of CIF Investments and Its Potential for Transformational Change

Submitted by: ICF

Final Report

Building Resilience through Decentralised Water Resource Management in the Caribbean

Submitted by: ICF

Final Report

Maximizing Synergy and Complementarity among Multilateral Climate Funds: Evidence, Challenges, and Opportunities

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Final Report

Exploring Methodologies to Measure Household Resilience to Climate Shocks in Sub-Saharan African Countries

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Innovative or Ancient? Increasing Water Resilience in Nepal

Submitted by: ICF

Final Report

Saint Lucia's Experience: Private Sector Participation in Response to Climate Change

Submitted by: ICF

Final Report

Attracting Private Capital in Grid Connected Solar Projects - Effectiveness of Public Financing

Submitted by: ICF

Final Report

Evaluation of the Climate Investment Funds' Programmatic Approach

Submitted by: ICF

Final Report

Evaluation of Transformational Change in the Climate Investment Funds

Submitted by: ICF

Final Report

Learning about Transformational Change from CIF's Experience

Submitted by: ICF

E&L Update: What are we learning?

| Learning theme | Key lessons |
|-------------------------------------|--|
| Transformational Change | <ul style="list-style-type: none">• Signs of transformational change across all programs• CIF business model supports transformational change• Range of enablers and barriers |
| Private Sector Investment | <ul style="list-style-type: none">• CTF leveraged private sector for market change• In SCF, more challenging but effective models exist• Intermediated finance is especially promising |
| Local Stakeholder Engagement | <ul style="list-style-type: none">• The DGM has transformational potential• Significant strides in gender mainstreaming• Inclusive approach improves sustainability |
| CIF Design and Approach | <ul style="list-style-type: none">• Programmatic approach conducive to systems change• Implementation varies by country and program context |

E&L Update: Collaboration and partnerships



NAMA Facility



Bloomberg
NEW ENERGY FINANCE



ADAPTATION FUND



oneWORLD
We build resilient futures



Asian Development Bank



European Bank
for Reconstruction and Development



iied International Institute
for Environment
and Development



Oxford Policy Management



PROFOR
INNOVATION AND ACTION
FOR FORESTS



WORLD BANK GROUP

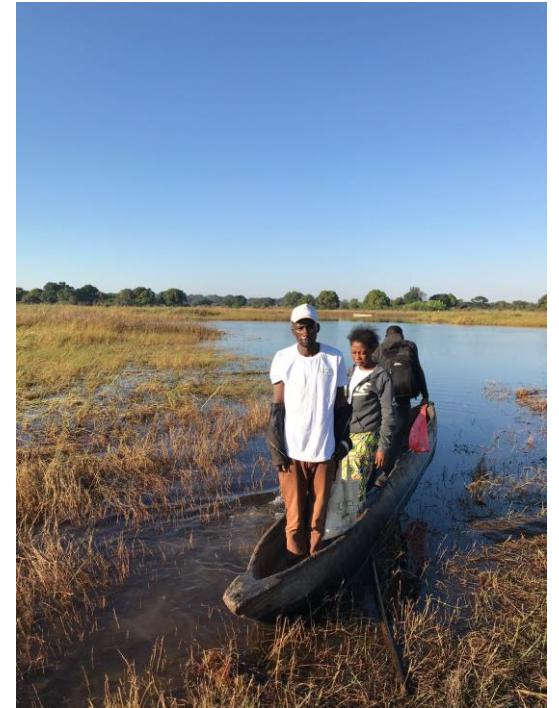
THE WORLD BANK
IBRD • IDA

IFC International
Finance Corporation



E&L Update: Learning from experience

- Aligning with strategy and decision-making processes
- Value of participatory, demand-driven approaches
- Effective governance and management structures
- Evidence base on climate finance remains scarce
- Ambitious work program can stretch resources



E&L Extension: Context and rationale

- Continued need for evidence and learning on how to maximize the effectiveness of climate finance
- CIF and E&L are well-positioned to address these gaps



E&L has contributed to recent evidence base

Literature relevant to transformational change in CIF (ODI 2018)

Papers reviewed by program, and year of publication

| CIF Program | 2018 | 2017 | 2016 | 2015 | 2014 | Earlier | Total |
|-------------|------|------|------|------|------|---------|-------|
| CTF | 8 | 2 | 1 | 3 | 11 | 4 | 29 |
| PPCR | 3 | 1 | 3 | 5 | 12 | 3 | 27 |
| FIP | 6 | 2 | 0 | 3 | 4 | 0 | 15 |
| SREP | 5 | 1 | 0 | 2 | 6 | 0 | 14 |
| Total | 22 | 6 | 4 | 13 | 33 | 7 | 85 |

Examples of persisting knowledge gaps:

- Select themes and technologies (e.g., battery storage, transport, gender, private sector)
- Learning from experience in FIP and SREP
- Transformational change concepts
- Financing models and instruments
- Barriers and enablers of transformation

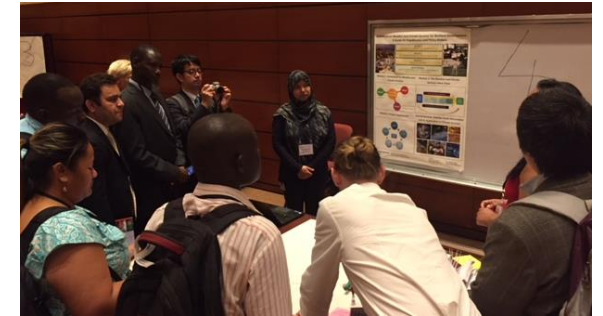
E&L Extension: Activities and outcomes

- Institutional learning uptake
- Enhanced country-level learning
- New sets of tools and guidance
- Additional strategic evaluations
- Climate finance learning partnerships



E&L Extension: Resourcing scenarios

| Outcome Area | Scenario A (USD 3 million) | Scenario B (USD 6 million) | Scenario C (USD 9 million) |
|------------------------|-------------------------------|-------------------------------|-------------------------------|
| Institutional learning | X | XX | XXX |
| Country-level learning | X | X | XXX |
| Tools and guidance | X | XX | XXX |
| Strategic evaluations | X | XX | XXX |
| Learning partnerships | | X | XXX |



E&L Extension: Illustrative activities

| Outcome Area | Scenario A (USD 3 million) | Scenario B (USD 6 million) | Scenario C (USD 9 million) |
|-------------------------------|--|--|--|
| Institutional learning | 6-8 institution-level briefings or events | 8-10 institution-level briefings or events | 10-12 institution-level briefings or events |
| Country-level learning | 4-5 country or regional briefings or events | 6-8 country or regional briefings or events | 8-10 country or regional briefings or events |
| Tools and guidance | 3-4 sets of tools, briefs or learning products | 3-4 sets of tools, briefs or learning products | 6-8 sets of tools, briefs or learning products and analyses |
| Strategic evaluations | 1-3 new strategic or thematic evaluations | 4-6 new strategic or thematic evaluations, increased scope | 6-10 new strategic or thematic evaluations, increased depth, breadth and scope |
| Learning partnerships | Limited, opportunistic partnerships | 3-5 joint events or studies | 6-10 joint events or studies, more formal, ongoing learning partnership |
| | | | |

E&L Extension: Illustrative Budgets

| Outcome Area | Scenario A (USD 3 million) | Scenario B (USD 6 million) | Scenario C (USD 9 million) |
|------------------------|-------------------------------|-------------------------------|-------------------------------|
| Institutional learning | \$550,000 | \$750,000 | \$950,000 |
| Country-level learning | \$550,000 | \$750,000 | \$950,000 |
| Tools and guidance | \$600,000 | \$700,000 | \$950,000 |
| Strategic evaluations | \$700,000 | \$2,400,000 | \$4,000,000 |
| Learning partnerships | \$350,000 | \$900,000 | \$1,400,000 |
| Implementation Support | \$250,000 | \$500,000 | \$750,000 |

E&L Update: Current Budget

| Activity Area | Budget |
|--------------------------------------|------------------|
| Strategic, cross-cutting studies (8) | 2,760,000 |
| Call for Proposals studies (21) | 2,670,000 |
| Learning Partnerships (3) | 880,000 |
| Dissemination and uptake | 485,000 |
| Implementation support | 575,000 |
| <i>Total</i> | 7,370,000 |



E&L Extension: Recap

1. The E&L Initiative has delivered on its mandate:
 - Valuable evidence and lessons to inform decisions and strategies
 - High engagement and collaboration internally and externally
2. There is a clear need for additional evidence and learning in climate finance
3. Additional demand-driven and strategic E&L activities can help leverage CIF experience for more transformative climate finance



Evaluation and Learning Advisory Group

Comments by Rob van den Berg

Chair, Evaluation and Learning
Advisory Group





Questions and Discussion

Thank you!

climateinvestmentfunds.org

