Status Report: Independent Evaluation of the CIFs

Evaluation Oversight Committee and ICF International

Joint CTF-SCF Trust Fund Committee
Meetings

29 April 2013

Background

- Basis: CTF and SCF governance frameworks call for an independent evaluation to be conducted by the independent evaluation units (EvDs) of the MDBs.
- Purposes of the evaluation:
 - To assess the development and organizational effectiveness of the CIF to date
 - To document experiences and lessons for the benefit of the Green Climate Fund
- Organization: An oversight committee (EOC) representing the EvDs supervises the evaluation consultant (ICF International); an International Reference Group (IRG) reviews drafts and provides comments.
- Independence: The Joint Trust Fund Committees approved the Approach Paper, budget, and International Reference Group slate, but have no further approval role.

Evaluation timeline to date

- September 2012: CTF-SCF Joint Trust Fund Committee approved the budget and Approach
- October 26 2012: ICF International selected via competitive bid to perform the evaluation
- November 2012: Kick-off activities in Istanbul
- December 14, 2012: ICF delivers draft Inception Report to EOC; (revised Feb 2013; final revision underway)
- February 21, 2013: IRG membership approved by Joint TFC
- March 1, 2013: ICF delivers draft Country Visit Protocol
- March 29, 2013: ICF delivers draft Interim Report
- April 7-9, 2013: First meeting of the International Reference Group

International Reference Group

- **Dr. Qwanruedee Chotichanatawong**, President of the Thailand Environment Institute; former Executive Director, Thailand Business Council for Sustainable Development.
- Dr. Kirit Parikh, Chairman, Integrated Research and Action for Development; founding director, Indira Gandhi Institute of Development Research; former member, Planning Commission of India
- **Professor Martin Parry**, visiting Professor, Center for Environmental Policy, Imperial College London, and Visiting Research Fellow, Grantham Institute, Co-chair Working Group II (Impacts, Adaptation, and Vulnerability) of IPCC Third Assessment Report.
- Ms. Frances Seymour, former Director-General, International Center for Forestry Research.
- Dr. Youba Sokona, Co-Chair of Working Group III of the IPCC Fifth Assessment Report; formerly: Coordinator of the African Climate Policy Centre; Executive Secretary of the Observatory of the Sahara and Sahel
- **Dr. Alvaro Umaña**, Senior Research Fellow, CATIE; formerly: Minister of Environment and Energy, Costa Rica; chair, World Bank Inspection Panel; chair Independent Panel overseeing the evaluation of the GEF pilot phase.

IRG recommendations

- Need for focus and prioritization of issues, including
 - Attention to results on the ground
 - Understanding of how 'transformation' has been interpreted and applied
 - CIFs' role in promoting 'participation', not just 'consultation'
 - Quality and content of learning
 - CIF governance architecture
 - CIFs' selection of countries into programs
- Country selection for field visits
 - Purposive selection for representation is superior to random selection, but with attention to avoiding potential biases in country performance or capacity
 - For countries with two programs, both should be covered
 - Include a range of adaptation challenges

Next steps

- Evaluation is approximately one month behind schedule, in part due to delay in finalizing IRG and country selection.
- Will release Inception Report, Interim Report, IRG comments soon.
- Still aiming for a draft final report for discussion by November meetings, but this is ambitious and depends in part on country visit scheduling

EOC contact:

- Chair: Kenneth Chomitz, <u>kchomitz@worldbank.org</u>
- eoc@cifevaluation.org

Overview of Progress To-Date



Key Progress Milestones

- Document review
- Portfolio analysis and database development
- Country selection
- Initial consultations held with CIF AU, CIF Trustee, CIF Legal
- Missions to MDB headquarters in progress

Next Steps

- Complete MDB missions
- Undertake consultations with CIF stakeholders, including TFC members, other contributors & recipients, CSOs, private sector, indigenous peoples, etc.
- Prepare for and conduct country missions

Criteria for Selecting Countries for Visits



- Inclusion of at least 3 CTF projects under implementation
- Representation across programs (at least 2 countries per each of the 4 CIF programs)
- Representation across regions and sub-regions (at least 1-2 countries per each of the 5 CIF regions)
- Representation across MDBs (at least 2 countries per each of the six MDBs)
- Inclusion of both older and newer investment plans (2009/2010 vs. 2011/2012 endorsement)
- Inclusion of endorsed (as of 12/2012) national and regional investment plans
- Balance across country capacity (least developed countries)
- Representation of energy technologies and project approaches, adaptation challenges, continental differences among forests, more and less rapid endorsement processes

Selected Countries for Visits



- Democratic Republic of Congo
- Kazakhstan

Egypt

Mexico

Ethiopia

Nepal

Indonesia

Niger

Jamaica

Turkey

Please note:

- Information from the country visits will be used to inform the evaluation more broadly; there will not be stand-alone country studies.
- Additional recipient countries will be consulted through regular interviews.

Distribution of Countries across Criteria



Country		Prog	ram				Region	n		MDBs										
	CTF	PPCR	FIP	SREP	ASIA	ECA	LAC	MENA	AFR	AfDB	ADB	EBRD	IBRD	IFC	IDB					
DR Congo *			Χ						Χ	Χ			Χ							
Egypt	Χ							Χ		X			X	Χ						
Ethiopia *				Χ					Χ	X			X	Χ						
Indonesia	X		Χ		Χ						Χ		X	Χ						
Jamaica		X					Χ						X		Χ					
Kazakhstan	Χ					Χ						Χ		Χ						
Mexico	Χ		Χ				Χ						X	Χ	Χ					
Nepal *		X		Χ	Χ						Χ		X	Χ						
Niger *		X							Χ	X			Χ	Χ						
Turkey	X					X						Χ	Χ	Χ						

^{*} Least Developed Country (UN classification)

Distribution of Countries across Criteria



		CTF and SREP Technologies/Sectors									REP nergy cces oroa	PPCR Project Foci (foci with greatest overall fundingshown in bold)												PPCR Adaptation Challenges				FIP Clusters						
Country	Wind	Geothermal	Solar	CSP	Hydro	Other RE	EE	Smart Grid	Transport	Mini-Grid	Distributed PV	Cooking	Water Management	Forecasting & Early Warning	Agriculture / Food Security	Infrastructure	Forestry	Capacity Building	Disaster Risk Management	Hydropower Generation	Sustainable Land Management	Multisector	Transport	Urban and Water	Climate Modeling	Tourism	Mountain Region	Floods	Coastal Zone and Sea Level Rise	Drought Risk and Water Availability	Low Forest Cover / Low Deforestation	High Forest Cover / Low Deforestation	Low Forest Cover / High Deforestation	High Forest Cover / High Deforestation
DR Congo																																Χ		
Egypt	Χ			Χ					Χ																									
Ethiopia	Х	Χ	Χ								Χ																							
Indonesia		X				Χ	Χ																											X
Jamaica													X	Χ	Χ							X							Χ					
Kazakhstan						X			\ <u>/</u>																									V
Mexico			\ <u> </u>		\ <u> </u>	Χ	Χ		Χ	\ <u>'</u>	V	\ <u>'</u>	V	V	\ <u>\</u>	\ <u>'</u>	V	V	\ <u> </u>		\ <u>'</u>						V	\ <u> \</u>						Χ
Nepal			Χ		X					Χ	Χ	Χ	X	X		Χ	X	X	Х		X				V		Χ	X		V				
Niger Turkey						Χ	X	Χ					X	Χ	X			X			X				X					X				

We want your input!

Please find us at the meetings, or reach out to us at the contacts below.



Contacts:

Mark Wagner
Team Leader

Mark.Wagner@icfi.com

+1.202.862.1155

Jessica Kyle
Deputy Team Leader

Jessica.Kyle@icfi.com

+1.202.862.2696