











# Toolkit for Monitoring & Reporting on the Pilot Program for Climate Resilience (PPCR)

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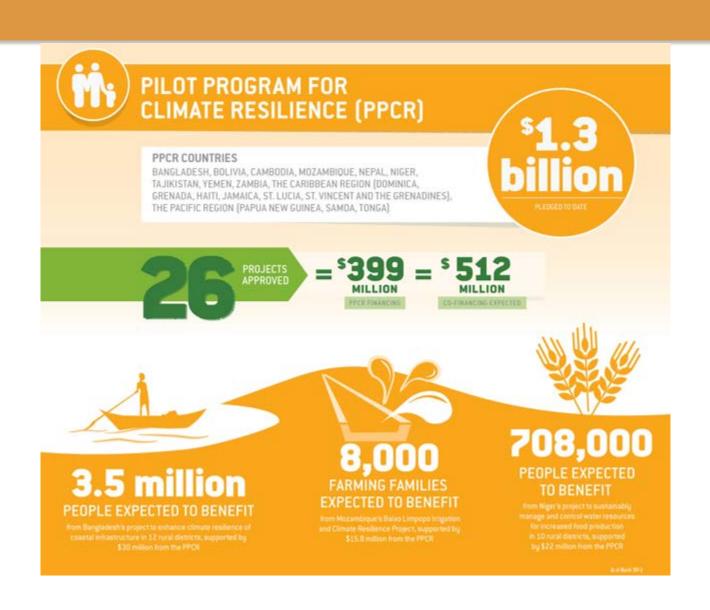
May 2, 2013 – Washington D.C., United States

### Pilot Program for Climate Resilience

Objective
Mainstream
climate resilience
into core
development
planning and action

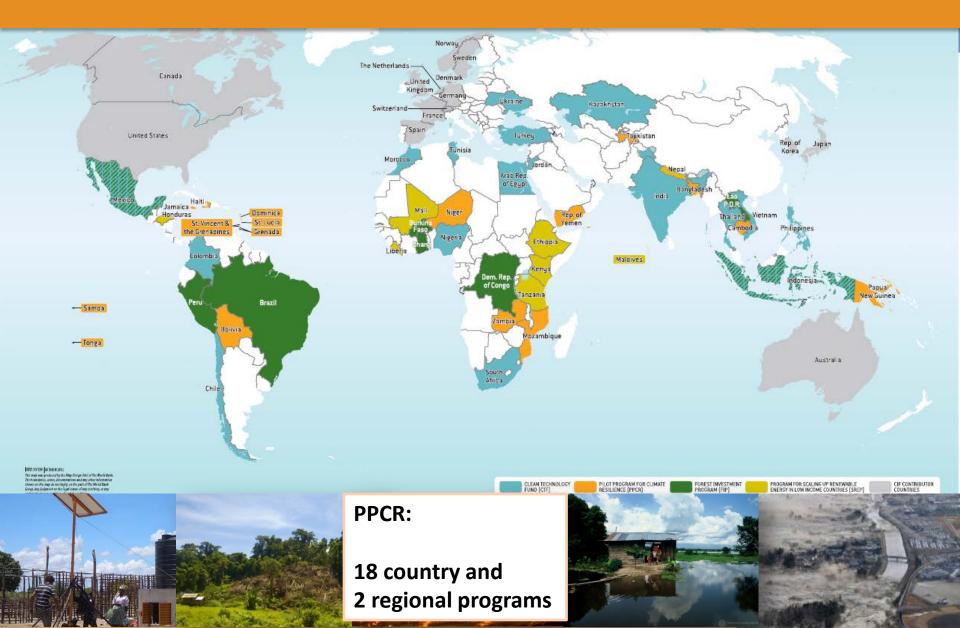
#### **Funding**

For Technical Assistance and Investments to support countries' efforts



#### **CIF in Action**





## Why on earth M&E?

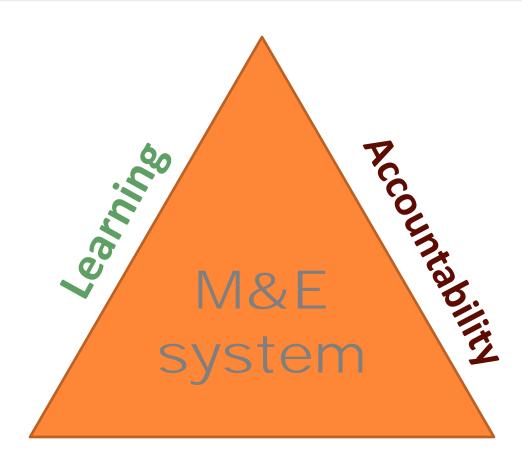
Couldn't we better do without?

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### Why on earth M&E?



**Evidence** – based decision making

### Couldn't we better do without?

### Couldn't we better do without?

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"We're still not sure what happened here, but I think we can all agree that we're glad it's over."



## PPCR Monitoring & Reporting: PAST, PRESENT & FUTURE



## 2010 Results Framework (old): 22 indicators, including project level

Global - CIF Final Outcome Improved low carbon, climate resilient development 20 yrs) Improved quality of life of people living in areas most affected by Country climate variability (CV) & climate change (CC) Transformative Increased resilience in economic, social, and eco-systems to CV & C (10-15 yrs)through transformed social and economic development. Country - PPCR Scaled-up Regional level: Improved investments in Replication of Catalytic Replication tutional structure PPCR learning in resilience Outcomes cesses to their re non-PPCR V & CC respon (5-10 yrs)countries **CIF Program** Enhanced integration Increased capacity Improved integration creased capacity to Project/ New & and consensus on resilience into coupt withstand / recover of learning / Program additional integration of climate knowledge into development str CC / CV effects **PPCR** resources for resilience into country climate resilience plans, pol vestment climate Outputs & strategies project development pros resilience specific Outcomes infrastructure, (2-7 yrs)Increased knowled /agricultural/wa. wareness of CV Increased learning Increased other CC modeling, CV interventions, social d knowledge about & CC effects public & private safety nets, insurance .ation options) among impact te vulnerability sources of Project/ schemes etc private sector / civil society govern ntation financing / Programinvestment **PPCR** Policy Reform / apacity Development / Enabling Knowledge Activities Building Leveraging Investments Environment Management (1-7 yrs)

## 2012 Results Framework (new): 5 core indicators (yellow), 6 optional

#### PPCR Revised logic model and results framework

Global – CIF Final Outcome (15 – 20 yrs)

Improved climate resilient development consistent with other CIF objectives

A1. Increased resilience of households, communities, businesses, sectors and society to

Country – Contribution of SPCR to Transformative Impact (10-20 yrs / national level)

A1.1 Change in % of households

whose livelihoods have improved

climate variability and climate change.

A1.2 Change in losses/damages from CC/CR in PPCR areas A1.3 # of people supported by PPCR to cope with CC/CR

A1.4 % of people with year round access to water

A2. Strengthened climate responsive development planning

A2.1 Degree of integration of CC in national including sector planning

A2.2 Change in budget allocations to support CC/CV

Country -SPCR Outcomes

In order to prepare for and respond to climate variability and climate change ...

B1. Adaptive capacities strengthened

B2. Institutional frameworks improved

B3. Climate information in decision making routinely applied

B4. Sector planning, and regulation for climate resilience improved B5. Climate responsive investment approaches identified and implemented

B1. Extent to which vulnerable households, communities, businesses and public sector use improved PPCR supported tools

B2. Evidence of strengthened government capacity and coordination mechanism to mainstream CR

B3. Evidence showing that climate information, products/ services are used in decision making in climate sensitive sectors

B4. Leverage of PPCR funding against public & private investments in climate sensitive sectors B5. Quality of & extent to which climate responsive instruments/ investment models are developed & tested

What is a core indicator?

### A concrete example ...



"I think it's getting serious between George and me. He doesn't text me anymore. He calls me on the phone."

### Adaptation is such a complex subject

How shall we measure resilience?

3 of the 5 core indicators are qualitative, how can we measure consistently?

### 5 Core Indicators:

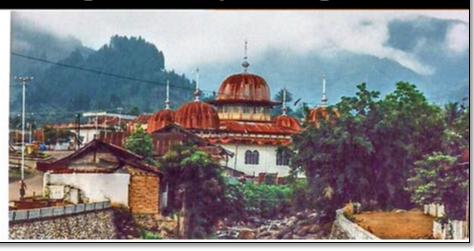
Each w/ guidance and tool for monitoring/reporting

- Degree of Integration of climate change in national, including sector, planning
- 2 Evidence of strengthened government capacity and coordination mechanism to mainstream climate resilience
- Quality and extent to which climate responsive instruments/ investment models are developed and tested
- Extent to which vulnerable households, communities, businesses and public sector services use improved PPCR supported tools, instruments, strategies and activities to respond to climate variability or climate change
- Number of people supported by the PPCR to cope with the effects of climate change

### **PPCR Toolkit**



### **PPCR Monitoring and Reporting Toolkit**



### Core Indicator Guidance Sheet

PPCR CORE INDICATOR 1	Degree of integration of climate change in national, including sector, planning
SPCR IMPACT	Strengthened climate responsive development planning
RATIONALE / REASONS FOR MEASURING	This indicator is designed to capture the extent to which considerations of climate resilience (risks, opportunities) are integrated into planning processes in national and sectoral levels. It is relevant to interventions intended to build the capacity of countries to address climate resilience through the development of climate plans, strategies and mainstreaming mechanisms and systems.  The achievement of this indicator cannot be attributed to the PPCR alone. This indicator provides
	reference data about the strength of a country's climate-responsive development planning.
TECHNICAL DEFINITION	In this indicator, degree refers to the depth of the process of integration of climate resilience within national, ministry and sector planning.
	Integration refers to the embedding of climate resilience priorities into new and existing development planning.
	National, including sector planning refers to the processes for developing strategies, policies, plans, laws, regulations and institutional arrangements to promote and integrate climate resilience.
METHODOLOGY	This indicator is a qualitative assessment of the various strategies, policies, plans and documents to observe changes in terms of the integration of climate change priorities into national, including sector planning.
	The qualitative assessment will focus on the following criteria:  1. Existence of a specific climate change policy, plan
	<ol> <li>Climate resilience strategies embedded in the principal planning documents at various levels (national, sector, ministry);</li> </ol>
	Responsibility assigned to coordinate the integration of climate resilience into planning;
	<ol> <li>Specific measures to address climate resilience identified and prioritized e.g. laws, regulations and incentives in these policies and plans;</li> <li>Routine screening for climate risk in planning processes.</li> </ol>
	These criteria are regarded as complementary, but not strictly sequential.
	Measurement will be at the level of the SPCR and by way of the qualitative PPCR Monitoring and Reporting Scorecard 1.

#### Other sections:

- Data sources and data collection
- Responsibilities for Monitoring and Reporting
- Quality Assurance

### Examples of Scorecards and Tables

Complete below the sectors identified as a priority in the SPCR. Insert other priority sectors or ministries below(optional) a b b c b c b c b c b c b c b c b c b c	From: July 1, 2012  To: June 30, 2013  Have specific measures to address climate resilience been identified and persons to integrate climate resilience planning?  To: June 30, 2013  Have specific measures to address climate resilience been identified and prioritized? e.g. processes routinely screen for climate risks?  Score	PPCR Core Indicator 1:	Degree of integra	tion of climate cha	ange into national	planning		
Reporting Period:  Reporting Period:  Reporting Period:  Reporting Period:  Reporting Period:  Reporting Period:  From: July 1, 2012  To: June 30, 2013  Have climate resilience strategies been embedded in the central government's/ sector's principal change plan for the pelow(optional)  By CR. Insert other priority sectors or ministries below(optional)  By CR. Insert other priority sectors or ministries all by complete below the sector sector's principal change plan for the nation/sector?  By CR. Insert other priority sectors or ministries below(optional)  By CR. Insert other priority sectors or ministries below(optional)  By CR. Insert other priority sectors or ministries below(optional)  By CR. Insert other priority sectors or ministries below(optional)  By CR. Insert other priority sectors or ministries below(optional)  By CR. Insert other priority sectors or ministries below(optional)  By CR. Insert other priority sectors or ministries below(optional)  By CR. Insert other priority sectors or ministries below(optional)  By CR. Insert other priority sectors or ministries below(optional)  By CR. Insert other priority sectors or ministries change plan for the nation/sector?  By CR. Insert other priority sectors or ministries change plan for the nation/sector?  By CR. Insert other priority sectors or ministries change plan for the nation/sector?  By CR. Insert other priority sectors or ministries change plan for the nation/sector?  By CR. Insert other priority sectors or ministries change plan for the nation/sector?  By CR. Insert other priority sectors or ministries change plan for the nation/sector planning?  By CR. Insert other priority sectors or ministries planning priority sectors investments and priority sectors or ministries planning planning planning planning planning	Atter atter atteriors of the persons to integrate of the p	Data Collection Method:	Data scored at the co	ountry level		-		
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Sector 3	0%	Sector 2						0%
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	0%	core each cell with a score between 0 and 10 where 0 = N	lo, 5 = Halfway and 10	) = Yes completely				
core each cell with a score between 0 and 10 where 0 = No, 5 = Halfway and 10 = Yes completely								
core each cell with a score between 0 and 10 where 0 = No, 5 = Halfway and 10 = Yes completely		Achievements: Write comments, highlights and/or rele	vant achievements of	the PPCR project in the	reporting period. Thes	e should help to expla	in your score.	
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chievements: Write comments, highlights and/or relevant achievements of the PPCR project in the reporting period. These should help to explain your score.	ely							
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Achievements: Write comments, highlights and/or relevant achievements of the PPCR project in the reporting period. These should help to explain your score.	ely							

Monitoring and Report	ting Scorecard	d for PPCR Cor	e Indicator 2	Date of Report:	mm/dd/yy	
PPCR (	Core Indicator 2:	Evidence of stren	gthened governm	ent capacity and c	oordination	
		mechanism to ma	ainstream climate	resilience		
Data	Collection Mathed	Data scored at the co	unter laval			
Data						_
	Sample	Strategic Plan for Cl	mate Resilience (SPC	CR)		
	Reporting Period:	From:	July 1, 2012	To:	June 30, 2013	
		Are information,				
		studies and		Do national/sector		
		assessments		incentives and	Does the	
Government Capac	city	addressing climate		legislative policies	government/sector	
Complete below the sectors identifie	change, variability	Is the necessary	expressly address	participate in the		
SPCR. Insert other priority sectors		and resilience	climate change	climate change and	coordination	
(optional)		available?	expertise available?	resilience?	mechanism?	Score
a		ь	c	d	e	f
Sample Government						0%
Sector 1						0%
Sector 2						0%
Sector 3						0%
Sector 4						0%
						0%
Score each cell with a score between	0 and 10 where 0 = 1	No, 5 = Halfway and 1	0 = Yes complete			
	Is the coordination					
	mechanism	Does it coordinate				
	functional e.g.,	climate resilience	Is there a broad set	Is the relevant		
Coordination Mechanism	established,	interventions other		climate resilience	Are females and	
Name the Coordination Mechanism		than those funded by	stakeholders	information in the	males participating	
below	efficient?	PPCR.	involved?	public domain?	equally?	
below	cincienti	11 614	mro.redi	pasie domain.	equality:	0%
Seese each call with a seese between	0 and 10 where 0 = 1	No. 5 - Holfman and 4	O - Voc completel:			0/0
Score each cell with a score between	o and 10 where 0 = 1	No, 5 = Hairway and 1	u = res completely			
Achievements: Write comments.	highlights and/or rele	vant achievements in	the reporting period. The	nese should help to ex	olain vour score.	

1.
3 x | Come / 1 Terrent | 2 Compairs / 2 Tested / 2 Company / 2 Continue / 2 Cont

Monitoring and Report	ing Scorecard for PP	CR Core Indicator 3	Date of Report:	mm/dd/yy
PPCR Core Indicator 3:	Quality of and extent to	which climate responsive	instruments/investment i	models are developed
	and tested			
Data Collection Method:	Scored at the project-level and	d compiled at the SPCR level		
Sample	Strategic Plan for Climate Re	silience (SPCR)		
Country Aggregate Report				
Reporting Period:	From:	July 1, 2012	To:	June 30, 2013
Climate responsive instrument/ investment models identified:	Has the instrument/ investment model been developed and tested?	Has the instrument/ investment model been implemented to the scale proposed?	Has the instrument/ investment model appropriately incorporated the needs of both females and males into its design and implementation?	Has the instrument/ investment model incorporated the needs of vulnerable populations into its design and implementation?
a b	c developed and tested?	d d	e	f
1 2 3 4 5 6 7 8 9 + core each cell with a score between	0 and 10 where 0 = No, 5 = Halfy	vay and 10 = Yes completely		
comment on Impact: Have any of the which ones and briefly describe how	-		l/developed by other non-PPCF	R stakeholders? If so, identify

Monitoring and Reporting PPCR Core Indicator 4: Exte	nt to which			nition b	usingsees and nublices	etar co	ndess	Date of Report:			etuumanta etuetaala
					•	ctor se	rvices u	se improved PPCK supp	ortea	toois, in	istruments, strategi
activ	vities to resp	ond to Climate Variabilit	y and C	limate	Change						
Data Collection Method: Data	collected for e	ach project and compiled at th	ne SPCR I	evel							
Sample Strat	egic Plan for (	limate Resilience (SPCR)									
<b>Country Aggregate Report</b>											
Reporting Period:	From: July 1				June 30, 2013						
		Only complete for the cate;	ories tar	rgeted by	the tool, instrument, strates	y, or act	ivity				
Identify the improved PPCR supported									Numi	ber of	
tool, instrument, strategy, activity	Number of		Num	ber of		Num	ber of		Public	Sector	Write up to three
below.	Households	Write up to three	Comm	nunities	Write up to three	Busin	esses	Write up to three	Service	Entities	sentences describing h
These are the same as those identified in	Annual Expect	ed sentences describing how	Annual	Expected	sentences describing how	Annual	Expected	sentences describing how	Annual	Expected	public sector servic
Scorecard 3	Actual Result	* households use this?	Actual	Results*	communities use this?	Actual	Results*	businesses use this?	Actual	Results*	entities use this?
a b	c d	e	f	g	h	- 1	1	k	-	m	n
1											
2											
3											
4											
5											
6											
7											
9											
10				-							
10											
*											
* Expected Results at SPCR completion de											
Commentary: Have non-targeted to	users used the	se tools? Please use the space	below to	tell us a	bout them. These are also yo	our succ	esses.				
1.											
2.											
3.											
,											
4.											
5.											

PPCR Core Indicator 5:	Numbe	er of people	suppo	rted by the PP	CR to co	pe with t	he effect	s of clima	ate chan	ge						
Data Collection Method:																
Sample Strategic Plan for Climate Resilience (SPCR)																
Country Aggregate Report																
Reporting Period	From:	July 1, 2012	To:	June 30, 2013												
					Dir	ect			Indi	rect	t Total					
					Annual Actual	Annual Expected Result	Cumulative Actual	SPCR Expected Results*	Annual Actual	Annual Expected Result	Cumulative Actual	SPCR Expected Results*	Annual Actual	Annual Expected Result	Cumulative Actual	SPCR Expected Results*
à			b	С	d	e	f	8	h	i	i	k	1	m		
Total number supported by the PPCR to cope with the effects of climate change											0	0	0			
umber of people below the PCR to cope with the effect			suppor	ted by the									0	0	o	
emales supported by the PR lange	PCR to co	ope with the	effects o	f climate									0	0	o	
PCR Expected Results at SPC	R comple	etion date														
chievements: Use the space	below t	to write comm	nents, hig	hlights and/or re	levant ach	ievements	of the PPC	R project i	n the repo	orting perio	d.					

# Scorecards: Scoring brings a quantitative element to qualitative information

### Participation















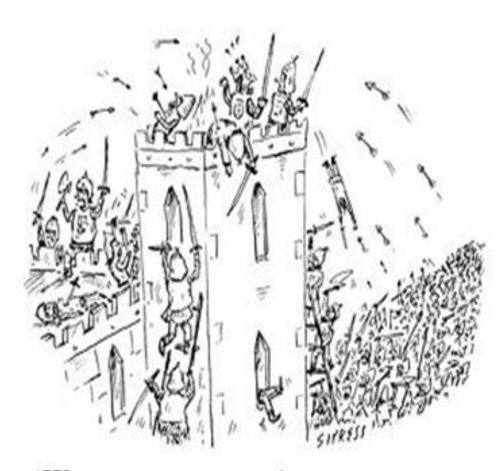






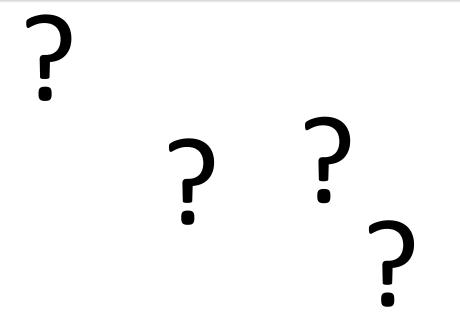
## Importance of a Work Plan for Monitoring and Reporting

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"What do you mean, 'we never got around to developing a work plan'?"

### Questions & Answers



THANK YOU

#### **Christine Roehrer**

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