

FOREST INVESTMENT PROGRAM (FIP)

INVESTMENT PLAN PREPARATION

SCOPING MISSION

27- 29 SEPTEMBER, 2016

QUITO, ECUADOR

TERMS OF REFERENCE

I. PARTICIPANTS (TBC)

Participants: Gloria Visconti (CSD/CCS), Cristina Villalba (CAN/CEC), Filippo Berardi (CSD/CCS), Pamela Ferro (CSD/CCS); Gabriela Encalada (World Bank); Gerhard Dieterle (World Bank), Laura Gaensly (IFC).

II. MISSION OBJECTIVES AND ACTIVITIES:

A scoping mission involving the Government of Ecuador (GoE) and the Multilateral Development Banks (MDBs -World Bank Group and the Inter-American Development Bank); will take place in the city of Quito, Ecuador, from 27 – 29 September, 2016. The overall objective of this mission is to initiate the process for developing the Investment Plan (IP) under the Forest Investment Program (FIP), through preliminary multi-sectoral dialogues and scoping of the work program.

The mission will be led by the Ministry of Environment (MAE) in representation of the GoE, as the focal point for the FIP. The objective of the mission is to discuss the key aspects of for the preparation of the IP, considering the Expression of Interest (EOI) submitted by the GoE to the FIP. The mission will include the following activities:

- Presenting the objectives of the FIP and the role of the preparatory resources for the elaboration of the IP.
- Discussing how the IP will contribute to the implementation of the National Development Plan “Buen Vivir”, the national forest policies and legislation, the sustainable forest management regulations, the National Climate Change Strategy, the National REDD+ Strategy: REDD+ Action Plan “Bosques para el Buen Virir” , the Intended Nationally Determined Contribution (INDC and NDC), governmental/sectorial priorities, national strategies/plans related to mitigation and adaptation to climate change.
- Presenting the Dedicated Grant Mechanism for Indigenous Peoples and local communities (DGM).
- Reviewing and discussing with the MAE the synergies between recent, ongoing and planned investments related to FIP, MDBs, development partners and technical agencies.
- Reviewing and discussing with the MAE the link between the FIP and the Programme “Socio Bosque”, the National Forest Monitoring System, the National Forest Control System Project, The National Reforestation Project (Forest Restoration Program) and reviewing and reviewing

and discussing with the Ministry of Agriculture, Animal Farming, Aquaculture and Fisheries (MAGAP) the Programme of Incentives for Commercial Reforestation..

- Discussing enabling conditions including institutional leadership and coordination mechanisms and supporting needs for this.
- Discussing broad financing options for the IP, including linkages to the Green Climate Fund (GCF), the Global Environment Facility (GEF), bilateral programs and Government resources.
- Discussing and confirming arrangements for the preparation of the IP.
- Discussing and confirming stakeholder and key actors engagement requirements, with careful expectations management.
- Confirming procedures for accessing and using the preparatory grant resources.
- Define the roadmap (key milestones and timetable for the elaboration of the IP).

The scoping mission will produce an Aide Memoire outlining the issues, process for moving forward, key findings and recommendations for the organization of Joint Missions, leading to the development of the IP in Ecuador.

III. INTRODUCTION, BACKGROUND AND COUNTRY CONTEXT

FIP approval: In May 2015 Ecuador was elected by the FIP Sub Committee to become a pilot country under the FIP Program following the recommendations of an independent panel of experts who selected the proposal submitted by the GoE through an expression of interest. In December 2015 the FIP Sub Committee approved the allocation of USD 250,000 for the elaboration of the IP. These resources will support the consultation and participation processes for the design of the IP and its articulation with the National REDD+ Strategy and the National Climate Change Strategy.

FIP general objectives: Supporting developing countries efforts to reduce emissions from deforestation and forest degradation and promote sustainable forest management and enhancement of forest carbon stocks (REDD+), including:

- Promoting forest mitigation efforts, including protecting forest ecosystem services
- Providing support outside of the forest sector to reduce pressure on forests
- Helping countries strengthen institutional capacity, forest governance, and forest-related knowledge
- Mainstreaming climate resilience considerations and contributing to biodiversity conservation, protecting the rights of indigenous peoples and local communities, and poverty reduction through rural livelihoods enhancement.

The FIP addresses the underlying drivers of deforestation and forest degradation, as well as the barriers identified by upstream REDD+ programs such as those of the Forest Carbon Partnership Facility (FCPF), GEF, UN Collaborative Programme on Reducing Emissions from Deforestation and Forest Degradation in Developing Countries (UN-REDD Programme).

IV. SCOPING MISSION ACTIVITIES AND FOLLOW UP:

- a. Presenting to the REDD+ and the forest sector focal points of the GoE and other stakeholders the FIP and the expression of interest of Ecuador as well as the linkages with the REDD+ Strategy.
- b. Reviewing the alignment of the FIP with forest sector policies, the National Development Plan “Buen Vivir” and Ecuador’s INDC and NDCs.
- c. Socializing the roadmap for the preparation of the IP with FIP stakeholders, including representatives of indigenous people and local communities for the part related to the DGM.
- d. Identifying and compiling background information for the preparation of the IP.
- e. Along with stakeholders, identify key actors to be included in any coordination structures for the preparation of the IP.
- f. Confirm the role of FIP and preparation resources allocated for joint development of the IP.
- g. Discussing and confirming Government’s priorities for the IP, including the role of the private sector.
- h. Identifying synergies with MDB investments, international donors and technical agencies as well as the links with other climate finance initiatives such as the Carbon Fund, the GEF, the Green Climate Fund and UN-REDD.
- i. Discussing financing options for the IP, including links to the Green Climate Fund, GEF, bilateral programs and resources of the GoE.
- j. Discussing and confirming general implementation arrangements for the preparation of the IP, including the processes for accessing and using the preparation resources, legal agreements, procurement and implementation. Discuss the responsibilities of the MDBs and the GoE.
- k. Discussing and confirming the requirement of stakeholders involvement in the preparation of the IP and confirm key representatives from the international cooperation, ministries, national and local entities, academia, private sector, indigenous people, local communities, NGOs, Groups of women and others.
- l. Discussing the roadmap including key milestones and timetable for preparing the IP, including Joint Missions and their timetable (1st joint mission, technical missions, 2nd joint mission and submissions for endorsement).
- m. Confirm requirements and preparation for Joint Missions.

V. AIDE MEMOIRE

The mission will prepare an Aide Memoire including the agreements and conclusions reached on key issues, next steps to guide the preparation of the IP and guidance to the MDBs for the preparation of the terms of reference and implementation of the Joint Missions.

VI. SCOPING MISSION COMPOSITION

The GoE will lead the mission through the Ministries who signed the EOI submitted to the FIP in May 2015. In addition, several MDB members will participate, as follows:

Position	Institution	Location	Name
Government of Ecuador			
Sub Secretary of Natural Patrimony	MAE	Ecuador	Miguel Saénz de Viteri
Sub Secretary of Climate Change	MAE	Ecuador	Jorge Burbano
Advisors	MAE	Ecuador	José Xavier Orellana, Mario Ruales, María Belén Moncayo
Multilateral Development Banks (Scoping Mission members)			
CIF Coordinator	IDB	DC	Gloria Visconti
Operations Specialist of the Ecuador Representation	IDB	Ecuador	Cristina Villalba
Climate Finance Specialist	IDB	DC	Filippo Berardi
Forest Policy Specialist	IDB	DC	Pamela Ferro
FIP Program Manager	IBRD	DC	Gerhard Dieterle
Environmental Specialist	IDA/IBRD	Quito	Gabriela Encalada Romero
Specialist, Blended Finance for Climate	IFC	DC	Laura Gaensly

VII. MISSION DRAFT AGENDA/SCHEDULE (MEETINGS HAVE YET TO BE CONFIRMED)

The Scoping Mission tentative agenda is as follows:

September 27th, 2016

Time	ACTIVITIES	PARTICIPANTS	LOCATION
10:00	Scoping Mission opening and meeting with the Ministry of Environment (MAE)	Scoping Mission members, MAE	IDB
12:30	Lunch		
14:00	Meeting with the Ministry of Agriculture, Livestock, Aquaculture and Fisheries (MAGAP)	Scoping Mission members, MAE, MAGAP	IDB

15:30	Meeting with the institutional involved in the design of the REDD+ National Action Plan	Scoping Mission members, MAE, Representatives of institutions part of the REDD+ Action Plan	IDB
18:00	<i>End of first day</i>		

September 28th, 2016

Time	ACTIVITIES	PARTICIPANTS	LOCATION
09:00	Meeting with Representatives of the International Cooperation participating in activities related to the FIP	Scoping Mission members, MAE, Representatives of International Cooperation	TBC
10:30	Meeting with Private Sector Representatives	Scoping Mission members, MAE, Representatives of the Private Sector	TBC
12:30	Lunch		
14:00	Meeting with Representatives of Indigenous People, forest and local communities and NGOs	Scoping Mission, MAE, Representatives of Indigenous Peoples, forest and local communities and NGOs	TBC
16:00	Coordination Meeting	Scoping Mission, MAE	TBC
17:00	<i>End of second day</i>		

September 29th:

TIME	ACTIVITIES	PARTICIPANTS	LOCATION
09:00	Meeting with the Ministry of Finance	Scoping Mission members, MAE, Ministry of Finance	Ministry of Finance

11:00	Discussion on the next steps	Scoping Mission members, MAE	IDB
12.30-14.00	Lunch		
14.00 – 17.00	Scoping Mission closure: conclusions, Signature of Aide Memoire	Scoping Mission members, MAE	IDB

VIII. CONTACTS (MDBs AND GOVERNMENT)

Government of Ecuador:

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Multilateral Development Banks:

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